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# Council Newsletter

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## CITY MANAGER'S NOTES September 12, 2013

### Upcoming Council Meetings

City Council will meet on **Monday, September 16, 2013**. The Study Session will begin at 6:00 p.m. in the Community Room. The Regular Meeting will begin at 7:30 p.m. in Council Chambers. The agendas are attached. Sandwiches will be available at 5:30 p.m.

The next meeting will be held on **Monday, September 23, 2013**.

### Informative Memoranda

The following are memoranda in response to City Council's requests, as well as other informational items.

1. News Release concerning craft distilleries, breweries and wineries.
2. Articles concerning sex offenders in the City, council members seeking reelection and the proposed Navajo Apartments.
3. Investment report for August, 2013.
4. Memorandum concerning the Allen Water Treatment Plant UV Project and the reopening of West Layton Avenue.
5. Calendar of Events.
6. Tentative Study Session Topics.
7. Minutes from the Public Library Board meeting of August 13, 2013.
8. Minutes from the Urban Renewal Authority special meeting and executive session on August 21, 2013.
9. Minutes from the Liquor and Medical Marijuana Licensing Authority telephone poll of August 21, 2013.
10. Minutes from the Election Commission meeting of August 28, 2013.

### Parks and Recreation Department

Pirates Cove was taken over by dogs on Saturday September 7<sup>th</sup>. As part of the Freedom Service Dogs annual festival, a Dog Plunge was held in the Pirates Cove leisure pool. More than 550 dogs chased tennis balls and other toys and exhausted themselves jumping in and out of the pool. In addition to the Dog Plunge there was a dog jumping demonstration by Rocky Mountain Dockdogs that was held in the competitive pool. The event went from 9am-3pm.



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On Friday, September 20<sup>th</sup>, Theater in the Park presents Sergei Prokofiev's Peter and the Wolf at CityCenter Englewood Amphitheater. The performance will be performed by the Ballet Arts Theater and will be free and open to the public. Englewood students, grades 2<sup>nd</sup> and 4<sup>th</sup>,

will be bussed to the park to attend the show. Theater in the Park is sponsored in part by Betty's Toys.

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Preparations have begun for the Saturday, October 26<sup>th</sup> Halloween Carnival. The Department is looking for volunteers to help with the event. Please contact Chris Vitale at the Rec Center if you wish to help.

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Construction continues on the NWGB playground at Tejon St. and Raritan Cir. Concrete forms are being set to pour the curbing for the playground edges. Next week construction for the playground equipment will start.

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Englewood High Schools girls' softball field construction is complete. Final punch list items are now being completed. The Parks Department will assume maintenance responsibilities for the irrigation and turf shortly.

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This Saturday is the 5<sup>th</sup> Annual Crazy-4-Line Dance Extravaganza at the Malley Recreation Center. From 10a-3pm, sixty line dancers from as far away as Colorado Springs, Ft. Collins, and even Austin, Texas will be dancing. The event will include dance instruction at the beginning and intermediate level, refreshments, lunch and prizes. Each participant will receive a step booklet of the day's dances. The event is sponsored in part by Bethany Rehabilitation Center.

## Leigh Ann Hoffhines

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**From:** Leigh Ann Hoffhines  
**Sent:** Wednesday, September 11, 2013 3:20 PM  
**To:** Carlos Illescas ( ) Clayton Woullard ( )  
Denver Business Journal Denver Post - News Room  
Englewood Herald - Tom Munds  
Kevin Hamm  
Peter Jones; Millett, Sarah; Tom Barry Villager -  
News Desk

**Cc:** Leigh Ann Hoffhines; Chris Neubecker; Traci Stoffel  
**Subject:** City of Englewood: Interest from craft brewers and distillers prompts new ordinance



### City of Englewood, Colorado News Release

**For Immediate Release:** September 11, 2013  
**Media Contact:** Chris Neubecker, Senior Planner  
**Phone:** 303-762-2348  
**E-Mail:** ( )

## Englewood enacts new ordinance welcoming craft distilleries, breweries, and wineries

**Englewood, CO:** On September 3, 2013 the Englewood City Council unanimously approved an amendment to the Englewood Municipal Code to allow small distilleries, breweries, and wineries in the downtown business district along South Broadway and Hampden Avenue, as well as larger operations in industrial zones. The code changes were in response to interest from local craft brewers and distillers to locate along the heavily-travelled South Broadway corridor in Englewood.

Englewood's new ordinance allows distilleries, breweries, and wineries up to 10,000 square feet to locate in the downtown business zones along South Broadway and Hampden Avenue. The only stipulation is that in the downtown area, a distillery, brewery, or winery is also required to have a sales room, public tours, restaurant, or tavern. There is no size limit or requirement for tastings, retail, or tours in industrial zones.

Interest in craft breweries and distilleries in Englewood began in 2012 when local businessman Paul Webster planned to open a craft brewery on South Broadway in downtown Englewood. Webster's *The Brew on Broadway*, known locally as "The BOB," opened in July 2013, selling and brewing both coffee and beer. Around the same time, Ryan White began planning for his *Devil's Head Distillery* and his choice location was Englewood. Brewing of beer was previously allowed, but distillation of alcohol was prohibited until the new ordinance was adopted. With the new ordinance in place, White is now in the process of selecting a site on South Broadway for his Devil's Head Distillery.

Englewood Mayor Randy Penn sees craft breweries and distilleries as a positive addition to the business community in Englewood. “We want to preserve the commercial character of our downtown and generate foot traffic in these highly visible areas of Englewood. Sample tastings, retail, and tours of distilleries and breweries help generate pedestrian activity and vibrancy,” said Mayor Penn.

While craft breweries have become ubiquitous in Colorado, only about 40 distilleries are currently operating in Colorado.

For more information about the City of Englewood, please visit [www.engagewoodgov.org](http://www.engagewoodgov.org).

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Leigh Ann Hoffhines  
Communications Coordinator  
City of Englewood  
1000 Englewood Parkway  
Englewood, CO 80110  
303-762-2316

[www.engagewoodgov.org](http://www.engagewoodgov.org) | [Facebook](#)

# Englewood to fight ruling on sex offenders

*ACLU says residency law violates state Constitution*

By PETER JONES

Convicted sex offenders may not want to house hunt in Englewood yet. The city has announced it will appeal a federal judge's ruling that Englewood's strict limits on where offenders can live pre-empts the state Constitution.

"Because the state does not provide any protection for cities, we were basically forced to do that on our own," Deputy City Manager Michael Flaherty said. "If the state had a law in place as 21 other states do, we would not have had to do this."

The controversial city ordi-

nance makes it a crime for sex offenders to live within 2,000 feet of any school, park, or playground, and within 1,000 feet of any licensed daycare facility, recreation center or swimming pool. Such individuals also cannot live on any property adjacent to bus stop, walk-to-school route or recreational trail.

Two weeks ago, U.S. District Judge R. Brooke Jackson ruled that the ordinance has left sex offenders with virtually no place to live in the city and is in violation of the state's interest in the uniform treatment, management, rehabilitation and reintegration of sex offenders during and after their prison sentences.

"Few sex offenders are incarcerated for life," Jackson said. "Most will at some point return to the community, and there must be a place for them to live."

On Aug. 26, the Englewood City Council decided it would appeal the decision largely on the grounds that there is no specific state law prohibiting the ordinance.

"There is no mention anywhere that says anything about where a sex offender — including the most egregious offender — may or may not live," Flaherty said.

That fact is quickly conceded by Mark Silverstein, legal director of the American Civil Liberties Union of Colorado, which brought the lawsuit on behalf of a convicted sex offender who was issued a summons from the city after he unknowingly purchased a home in a restricted area of Englewood.

According to the ACLU attorney, the issue is the state Constitution's limits on the powers of home-rule cities when there is a compelling statewide interest.

"If an issue is clearly about a matter of local concern, then the city has total power — like deciding what days there'll be garbage pickup," he said. "But if a local ordinance winds up having effects outside the community, then it might be regarded as something where the city doesn't have full power."

The authority of home-rule cities has been tested before. The City of Denver has successfully used its home-rule status to keep its ordinance prohibiting pit bulls after some argued that law would push the breed's owners into the suburbs.

In the case of sex offenders, Silverstein argues, Englewood's ordinance, as well as similar ones passed in Greenwood Village, Lone Tree and Castle Rock, among others, could have a rippling impact on the entire state, especially if other surrounding cities pass their own policies for where such offenders can live.

"The effect of residency restrictions in Englewood means that sex offenders will go to the next town, so the local ordinance clearly has an effect on areas outside the city," Silverstein said. "In Colorado, local communities don't have the power to pass an ordinance like this. If residency restrictions for sex offenders are going to be enacted, they would be enacted by the state legislature."

Ironically, that is Englewood's hope, according to Flaherty. He says the absence of applicable state law is part of what prompted Englewood to pass its 2006 ordinance in the first place.

Flaherty concedes there are only a handful of pockets in the city where sex offenders can live, but he does not buy the argument that the situation could create a crisis across Colorado, noting that only six cities have passed similar ordinances.

Further, he says, Englewood's minimal housing for offenders is connected to the city's size and character, not an intent to prevent all residencies.

"Englewood is a small city," he said. "We're not a city of high-

In Colorado, local communities don't have the power to pass an ordinance like this.

- Mark Silverstein,  
ACLU legal director

rise residences. We're almost all single-family homes and we have a number of schools, playgrounds and parks in the city. Because of the circles that are drawn, it does preclude offenders to limited areas."

The number of Englewood residences legally available to sex offenders has been variously estimated at between 55 and 126, though by all counts such individuals cannot buy or rent in 99 percent of the city, Judge Jackson concluded.

Englewood is expected to seek the support of other Colorado cities with similar ordinances in its appeal. Because this is the first legal case addressing municipal residency ordinances for sex offenders in Colorado, the case is expected to have wide-ranging impact across the state.

If the state had a law in place as 21 other states do, we would not have had to do this.

- Englewood Deputy  
City Manager Michael  
Flaherty

## Olson announces re-election bid in Englewood

Englewood City Councilwoman Linda Olson announced her intention to run for re-election.

"It has been an honor to represent District 2 in the City of Englewood these past four years," she said. "With several key developments in process and several more on the horizon, the time is right for stability and a sustained vision that represents this geographically small but diverse set of residents and businesses."

Olson said she is pleased to devote another four years to keep lines of communication open through the many ongoing developments, and "make clear-headed decisions in a sea of many competing values."



Linda Olson

## Englewood's Gillit seeks re-election

District 4 Englewood City Councilman Rick Gillit formally announced his plans to run for re-election. He says he brings his broad experience as a business owner, Air Force veteran and community leader to the job.

Gillit and his wife served together in the Air Force in locations that included Guam and California.

Gillit was elected in 2009 when he challenged an incumbent and won by a margin of 56 to 44 percent.

His previous experience includes starting a division for Beechcraft at Jefferson County Airport, working as a manager for Continental Express Airlines at Denver International Airport, and working for more than 10 years in the manufacturing sector.

Since 2001, Gillit has owned his own company, providing real estate and financial services across the metro area.

When Gillit was elected in 2009, the candidate says there was a perception of a "good ol' boy" network in the Englewood City political



Rick Gillit

climate. Gillit says he has worked hard to debunk this and ensure that opportunities to serve in the community do not depend on who one knows, but one's willingness to serve. Gillit, who was perceived as an outsider when he was first elected, looks forward to continuing to serve with energy and passion.

Continued

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## NEWS



**NEWS TIP?** News@YourHub.com, YourHub.com or call 720-984-2482.

## Your Development

# Englewood considers new apartments

By Clayton Woullard  
YourHub Reporter

Englewood City Council is considering a new apartment complex that hopes to capitalize on its proximity to the Oxford Light Rail Station.

The proposed Navajo Apartments, on 2 acres on the 4200 block of South Navajo Street between Oxford Avenue to the north and Quincy Avenue to the south, would be built on Bruce Elsey's property, where he once ran a cat litter manufacturing plant. The plant has moved elsewhere in Englewood. Currently, a manufacturer of outdoor bleachers uses the warehouse there.

The apartments are being developed by Elsey's son, Chris Elsey,

owner of Elsey Partners of Manhattan, Kan. He's proposing 130 units there, 68 of which would be one-bedroom units and 72 would be two-bedroom apartments. While he said he's looking to get the zoning changed from 1-1 light industrial zone district to residential use, he's not necessarily interested in building right away.

"It's all market driven," Chris Elsey said. "If the market can bear it, that would be the highest and best use for the property."

According to city documents, "the developer foresees young professionals working downtown and students attending Arapahoe Community College or the Auraria campus as potential tenants."

Elsey Partners came forward with the proposal last fall.

Chris Elsey said normally his company develops housing near universities, including student housing. The new apartment complex would be a five-story building. Chris Elsey said he would want to see what the Regional Transportation District is going to do with the Oxford Light Rail Station before beginning construction. He said the project fits into the city's new Englewood Light Rail Corridor Plan, which proposes residential rezoning around light rail stations.

City planner Brook Bell said there have been no protests to the Navajo Apartments project, but there will be a public hearing held Sept. 16 at city council's regular meeting.

Clayton Woullard: 303-954-2671  
or

## Arapahoe County YourHub

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# CITY OF ENGLEWOOD

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Report for the period August 1, 2013 - August 31, 2013

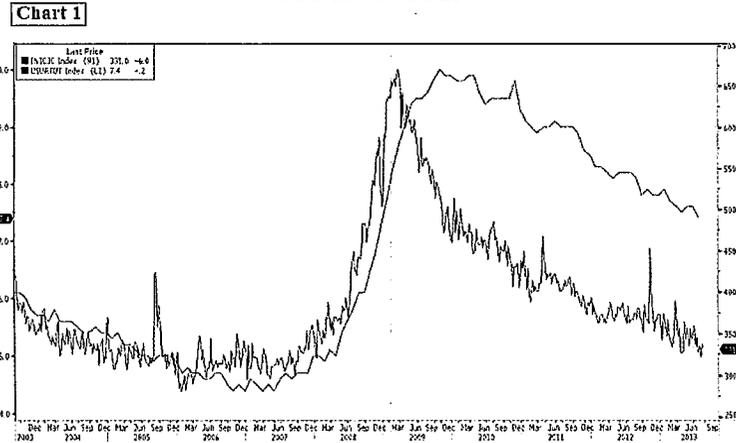
Please contact Accounting by calling the number above or email [c](mailto:accounting@cutwater.com)

with questions concerning this report.

( This report was prepared on September 4, 2013 )

## Fixed Income Market Review August 31, 2013

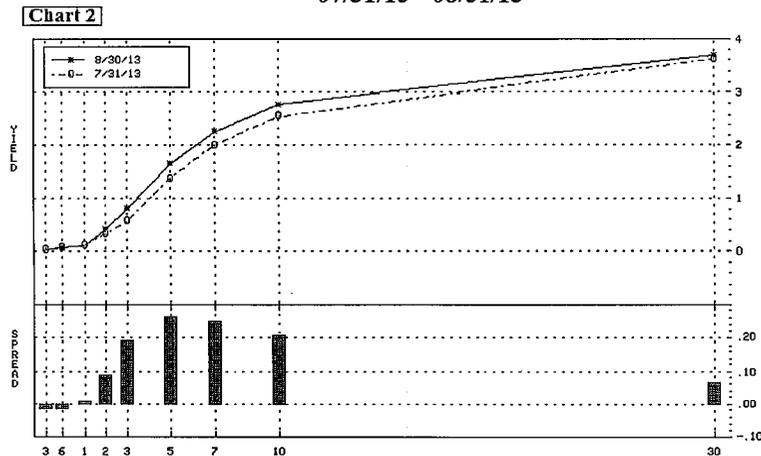
**U.S. Unemployment Rate and Jobless Claims**  
08/31/03 - 08/31/13



**Economic Indicators & Monetary Policy** – The employment situation continues to improve from the Great Recession with steady job growth and fewer unemployment claims. For the week ended August 9, jobless claims fell to a 5-year low of 322,000 and for the week ending August 23, the 4-week moving average remained near a 6-year low of 330,000. As such, the U.S. unemployment rate fell to 7.4 percent in July and has averaged 7.6 percent in 2013, compared to 8.1 percent for the year 2012. (See Chart 1) Economists expect the unemployment rate to fall to 6.9 percent by late 2014.

The U.S. economy grew at an annualized rate of 2.5 percent during the second quarter compared to the initial estimate of 1.7 percent. The economy continues to improve and is gaining momentum after the budget cuts and federal tax increases earlier this year. Household balance sheets continue to improve with rising home values and improved purchasing power. Consumer spending increased at a 1.8 percent pace during the second quarter and added 1.2 percentage points to overall economic growth. The economy is expected to grow at an annualized pace of 2.5 percent during the second half of this year, which might be somewhat challenging for the consumer due to rising mortgage rates as well as higher fuel costs resulting from the turmoil in the Middle East.

**Treasury Yield Curves**  
07/31/13 - 08/31/13



At the July 30th/31st Federal Open Market Committee (FOMC) meeting, policy-makers kept the federal funds target rate at a range of zero to 0.25 percent. The FOMC also maintained its \$85 billion in monthly bond purchases to help overall economic activity. Policy-makers will likely hold the benchmark rate near zero as long as unemployment is above 6.5 percent and the inflation outlook remains below 2.5 percent. The next FOMC meeting is scheduled for September 17<sup>th</sup>/18<sup>th</sup>. Based on minutes from the July meeting, the FOMC is “broadly comfortable” with Chairman Bernanke’s plan to start reducing its asset purchases later this year.

**Yield Curve & Spreads** – Treasury yields increased in August in anticipation of tapering to begin sooner as opposed to later by the Federal Reserve.

At the end of August, the 3-month Treasury bill yielded 0.02 percent, 6-month Treasury bill yielded 0.05 percent, 2-year Treasury note yielded 0.40 percent, 5-year Treasury note yielded 1.64 percent, 10-year Treasury note yielded 2.78 percent, and the 30-year Treasury yielded 3.70 percent. (See Chart 2)

**Additional Information**  
**August 31, 2013**

The opinions expressed above are those of Cutwater Asset Management and are subject to change without notice. All statistics represent month-end figures unless otherwise noted.

A current version of the investment adviser brochure for Cutwater Investor Services Corp., in the form of the Firm's ADV Part 2A, is available for your review. Please contact our Client Service Desk at 1-800-395-5505 or mail your request to:

Cutwater Investor Services Corp.  
Attention: Client Services  
113 King Street  
Armonk, NY 10504

A copy of the brochure will be sent to you either by mail or electronically at your option.

In addition, a copy of the most recent version of the Firm's complete Form ADV can be downloaded from the SEC website at [www.adviserinfo.sec.gov/](http://www.adviserinfo.sec.gov/).

**City of Englewood  
Activity and Performance Summary  
for the period August 1, 2013 - August 31, 2013**

<u>Amortized Cost Basis Activity Summary</u>	
<b>Beginning Amortized Cost Value</b>	40,953,981.96
<b>Additions</b>	
Contributions	0.00
Interest Received	80,125.00
Accrued Interest Sold	0.00
Gain on Sales	0.00
<b>Total Additions</b>	<b>80,125.00</b>
<b>Deductions</b>	
Withdrawals	43,058.70
Fees Paid	3,399.11
Accrued Interest Purchased	5,743.44
Loss on Sales	0.00
<b>Total Deductions</b>	<b>(52,201.25)</b>
Accretion (Amortization) for the Period	(12,498.35)
<b>Ending Amortized Cost Value</b>	<b>40,969,407.36</b>
Ending Fair Value	40,682,759.06
Unrealized Gain (Loss)	(286,648.30)

<u>Detail of Amortized Cost Basis Return</u>				
	Interest Earned	Accretion (Amortization)	Realized Gain (Loss)	Total Income
<b>Current Holdings</b>				
Commercial Paper	0.00	430.56	0.00	430.56
U.S. Treasury	3,408.36	(2,400.31)	0.00	1,008.05
U.S. Instrumentality	22,210.43	(3,216.54)	0.00	18,993.89
Corporate	10,156.26	(4,630.45)	0.00	5,525.81
<b>Sales and Maturities</b>				
Corporate	3,701.39	(2,681.61)	0.00	1,019.78
<b>Total</b>	<b>39,476.44</b>	<b>(12,498.35)</b>	<b>0.00</b>	<b>26,978.09</b>

<u>Annualized Comparative Rates of Return</u>			
	Twelve Month Trailing	Six Month Trailing	For the Month
Fed Funds	0.13 %	0.11 %	0.08 %
Overnight Repo	0.13 %	0.08 %	0.04 %
3 Month T-Bill	0.06 %	0.04 %	0.04 %
6 Month T-Bill	0.09 %	0.07 %	0.06 %
1 Year T-Note	0.15 %	0.13 %	0.13 %
2 Year T-Note	0.28 %	0.29 %	0.35 %
5 Year T-Note	0.91 %	1.08 %	1.52 %

<u>Summary of Amortized Cost Basis Return for the Period</u>		
	Total Portfolio	Excl. Cash Eq.
Interest Earned	39,476.44	39,476.44
Accretion (Amortization)	(12,498.35)	(12,498.35)
Realized Gain (Loss) on Sales	<u>0.00</u>	<u>0.00</u>
<b>Total Income on Portfolio</b>	<b>26,978.09</b>	<b>26,978.09</b>
Average Daily Historical Cost	40,789,342.63	40,789,342.63
Annualized Return	0.78%	0.78%
Annualized Return Net of Fees	0.68%	0.68%
Annualized Return Year to Date Net of Fees	0.73%	0.73%
Weighted Average Effective Maturity in Days	893	893

**City of Englewood  
Activity and Performance Summary  
for the period August 1, 2013 - August 31, 2013**

<u>Fair Value Basis Activity Summary</u>	
<b>Beginning Fair Value</b>	40,821,443.86
<b>Additions</b>	
Contributions	0.00
Interest Received	80,125.00
Accrued Interest Sold	0.00
<b>Total Additions</b>	80,125.00
<b>Deductions</b>	
Withdrawals	43,058.70
Fees Paid	3,399.11
Accrued Interest Purchased	5,743.44
<b>Total Deductions</b>	(52,201.25)
 Change in Fair Value for the Period	 (166,608.55)
<b>Ending Fair Value</b>	<b>40,682,759.06</b>

<u>Detail of Fair Value Basis Return</u>			
	Interest Earned	Change in Fair Value	Total Income
<b>Current Holdings</b>			
Commercial Paper	0.00	224.00	224.00
U.S. Treasury	3,408.36	(7,576.75)	(4,168.39)
U.S. Instrumentality	22,210.43	(130,322.53)	(108,112.10)
Corporate	10,156.26	(25,976.27)	(15,820.01)
<b>Sales and Maturities</b>			
Corporate	3,701.39	(2,957.00)	744.39
<b>Total</b>	<b>39,476.44</b>	<b>(166,608.55)</b>	<b>(127,132.11)</b>

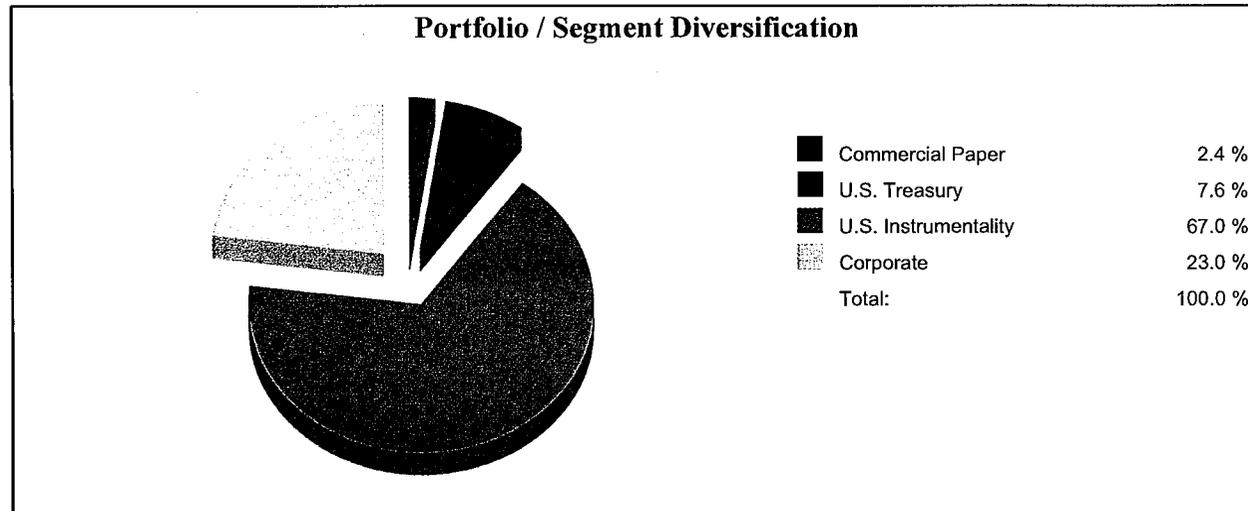
<u>Annualized Comparative Rates of Return</u>			
	Twelve Month Trailing	Six Month Trailing	For the Month
Fed Funds	0.13 %	0.11 %	0.08 %
Overnight Repo	0.13 %	0.08 %	0.04 %
3 Month T-Bill	0.11 %	0.11 %	0.12 %
6 Month T-Bill	0.20 %	0.20 %	0.24 %
1 Year T-Note	0.26 %	0.27 %	0.12 %
2 Year T-Note	0.09 %	-0.05 %	-1.06 %
5 Year T-Note	-2.84 %	-5.66 %	-9.18 %

<u>Summary of Fair Value Basis Return for the Period</u>		
	Total Portfolio	Excl. Cash Eq.
Interest Earned	39,476.44	39,476.44
Change in Fair Value	(166,608.55)	(166,608.55)
Total Income on Portfolio	(127,132.11)	(127,132.11)
Average Daily Historical Cost	40,789,342.63	40,789,342.63
Annualized Return	(3.67%)	(3.67%)
Annualized Return Net of Fees	(3.77%)	(3.77%)
Annualized Return Year to Date Net of Fees	(1.01%)	(1.01%)
Weighted Average Effective Maturity in Days	893	893

**City of Englewood  
Recap of Securities Held  
August 31, 2013**

	Historical Cost	Amortized Cost	Fair Value	Unrealized Gain (Loss)	Weighted Average Final Maturity (Days)	Weighted Average Effective Maturity (Days)	% Portfolio/Segment	Weighted Average Yield *	Weighted Average Market Duration (Years)
Commercial Paper	996,736.11	999,152.78	999,627.00	474.22	62	62	2.42	0.51	0.00
U.S. Treasury	3,109,531.26	3,054,356.06	3,057,149.00	2,792.94	705	705	7.56	0.49	1.90
U.S. Instrumentality	27,542,652.77	27,482,169.89	27,213,618.31	(268,551.58)	1,113	1,031	66.99	0.88	2.75
Corporate	9,468,553.20	9,433,728.63	9,412,364.75	(21,363.88)	640	640	23.03	0.74	1.73
<b>Total</b>	<b>41,117,473.34</b>	<b>40,969,407.36</b>	<b>40,682,759.06</b>	<b>(286,648.30)</b>	<b>948</b>	<b>893</b>	<b>100.00</b>	<b>0.81</b>	<b>2.39</b>

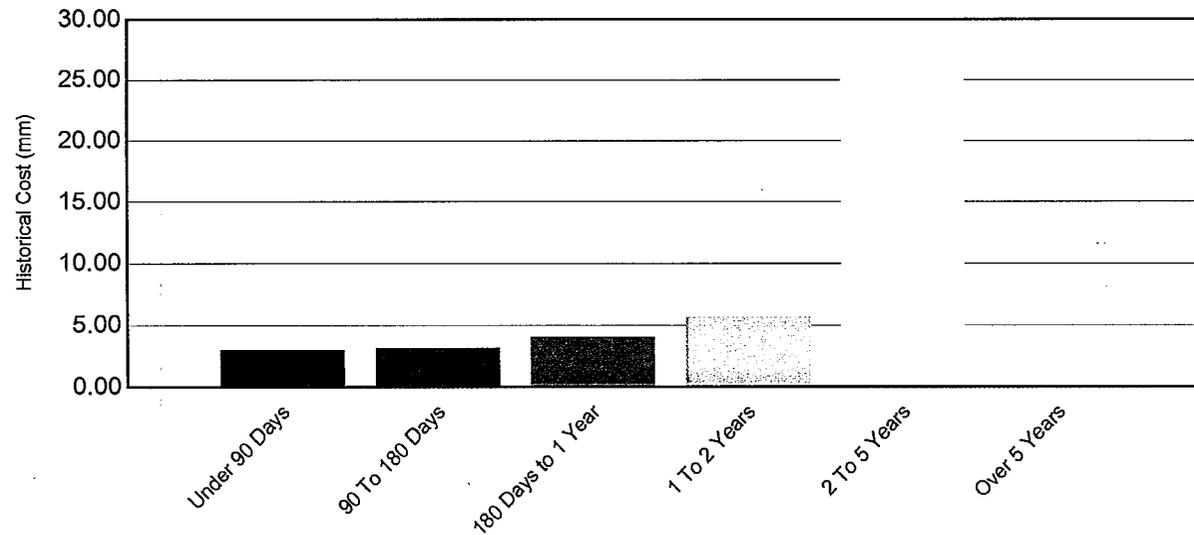
\* Weighted Average Yield is calculated on a "yield to worst" basis.



**City of Englewood  
Maturity Distribution of Securities Held  
August 31, 2013**

Maturity	Historical Cost	Percent
Under 90 Days	2,997,156.11	7.29 %
90 To 180 Days	3,126,579.00	7.60 %
180 Days to 1 Year	4,040,360.00	9.83 %
1 To 2 Years	5,612,783.13	13.65 %
2 To 5 Years	25,340,595.10	61.63 %
Over 5 Years	0.00	0.00 %
	41,117,473.34	100.00 %

**Maturity Distribution**



**City of Englewood  
Securities Held  
August 31, 2013**

CUSIP/ Description	Purchase Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost/ Accrued Interest Purchased	Amortized Cost/ Accretion (Amortization)	Fair Value/ Change In Fair Value	Unrealized Gain (Loss)	Interest Received	Interest Earned	Total Accrued Interest	% Port Cost	Yield
<b>Commercial Paper</b>													
4497W0Y10 ING Funding	03/11/13	0.000	11/01/13	1,000,000.00	996,736.11 0.00	999,152.78 430.56	999,627.00 224.00	474.22	0.00	0.00	0.00	2.42	0.51
<b>TOTAL (Commercial Paper)</b>				1,000,000.00	996,736.11 0.00	999,152.78 430.56	999,627.00 224.00	474.22	0.00	0.00	0.00	2.42	
<b>U.S. Treasury</b>													
912828MF0 T-Note	09/15/11	2.250	01/31/15	1,000,000.00	1,061,484.38 0.00	1,025,759.66 (1,544.59)	1,027,852.00 (2,421.00)	2,092.34	0.00	1,895.38	1,956.52	2.58	0.41
912828SP6 T-Note	08/29/13	0.375	04/15/15	1,000,000.00	1,001,093.75 1,393.44	1,001,088.23 (5.52)	1,000,859.00 (234.75)	(229.23)	0.00	30.74	1,424.18	2.43	0.31
912828QP8 T-Note	09/23/11	1.750	05/31/16	1,000,000.00	1,046,953.13 0.00	1,027,508.17 (850.20)	1,028,438.00 (4,921.00)	929.83	0.00	1,482.24	4,446.72	2.55	0.73
<b>TOTAL (U.S. Treasury)</b>				3,000,000.00	3,109,531.26 1,393.44	3,054,356.06 (2,400.31)	3,057,149.00 (7,576.75)	2,792.94	0.00	3,408.36	7,827.42	7.56	
<b>U.S. Instrumentality</b>													
31398A4N9 FNMA	10/15/10	1.000	10/15/13	1,000,000.00	1,001,170.00 0.00	1,000,000.00 0.00	1,000,838.00 (619.00)	838.00	0.00	833.34	3,777.78	2.43	1.00
3133XWKV0 FHLB	03/28/11	2.375	03/14/14	1,000,000.00	1,030,620.00 0.00	1,005,490.09 (877.29)	1,011,969.00 (1,900.00)	6,478.91	0.00	1,979.17	11,017.36	2.51	1.32
3134G2UA8 FHLMC	11/30/11	1.000	08/20/14	1,000,000.00	1,007,500.00 0.00	1,002,663.48 (233.90)	1,007,635.00 (765.00)	4,971.52	5,000.00	833.34	305.56	2.45	0.72
313370JS8 FHLB	07/28/11	1.375	09/12/14	1,000,000.00	1,011,560.00 0.00	1,003,806.09 (313.80)	1,012,006.00 (996.00)	8,199.91	0.00	1,145.83	6,454.86	2.46	1.00
3133EC3U6 FFCB	Call 11/21/12	0.280	11/21/14 09/21/13	1,000,000.00	999,250.00 0.00	999,541.78 31.85	1,000,004.00 (16.00)	462.22	0.00	233.34	777.78	2.43	0.32
3135G0HA4 FNMA	Call 02/01/12	0.750	01/30/15 01/30/14	1,000,000.00	1,004,962.00 0.00	1,001,027.79 (211.01)	1,001,767.00 (456.00)	739.21	0.00	625.00	645.83	2.44	0.50
313380L96 FHLB	08/23/12	0.500	11/20/15	1,000,000.00	999,680.00 0.00	999,781.08 8.38	998,478.00 (1,435.00)	(1,303.08)	0.00	416.67	1,402.78	2.43	0.51
3134G3MK3 FHLMC	Call 02/24/12	1.000	02/24/16 02/24/14	1,000,000.00	1,004,140.00 0.00	1,000,996.77 (175.57)	1,002,743.00 (385.00)	1,746.23	5,000.00	833.33	194.44	2.44	0.79
3134G3SE1 FHLMC	Call 05/25/12	1.000	03/21/16 03/21/14	1,000,000.00	1,003,410.00 0.00	1,001,030.69 (158.96)	1,002,990.00 (666.00)	1,959.31	0.00	833.33	4,444.44	2.44	0.81
3133EAWZ7 FFCB	Call 07/31/12	0.790	07/11/16 09/11/13	1,000,000.00	1,000,560.00 0.00	1,000,000.00 0.00	996,515.00 (3,551.00)	(3,485.00)	0.00	658.33	1,097.22	2.43	0.79

**City of Englewood  
Securities Held  
August 31, 2013**

CUSIP/ Description	Purchase Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost/ Accrued Interest Purchased	Amortized Cost/ Accretion (Amortization)	Fair Value/ Change In Fair Value	Unrealized Gain (Loss)	Interest Received	Interest Earned	Total Accrued Interest	% Port Cost	Yield	
313380S57 FHILB	Call 10/15/12	0.700	10/11/16 09/11/13	642,857.14	642,857.14 0.00	642,857.14 0.00	635,758.71 (2,976.43)	(7,098.43)	0.00	375.00	1,750.00	1.56	0.70	
3135G0ES8 FNMA	11/30/11	1.375	11/15/16	1,000,000.00	1,002,090.00 0.00	1,001,350.66 (35.75)	1,010,562.00 (5,607.00)	9,211.34	0.00	1,145.83	4,048.61	2.44	1.33	
3135G0ES8 FNMA	01/29/13	1.375	11/15/16	1,300,000.00	1,332,133.63 0.00	1,327,148.98 (718.71)	1,313,730.60 (7,289.10)	(13,418.38)	0.00	1,489.58	5,263.19	3.24	0.71	
3135G0UC5 FNMA	Call 06/07/13	1.000	02/13/17 11/13/13	1,000,000.00	1,000,100.00 0.00	1,000,000.00 (17.91)	991,122.00 (5,393.00)	(8,878.00)	5,000.00	833.33	500.00	2.43	1.00	
3134G3MZ0 FHLMC	Call 12/20/12	1.100	02/24/17 02/24/14	1,000,000.00	1,007,640.00 0.00	1,003,119.81 (549.52)	995,821.00 (5,591.00)	(7,298.81)	5,500.00	916.67	213.89	2.45	0.45	
313378WF4 FHILB	05/10/12	1.125	03/10/17	1,000,000.00	1,006,070.00 0.00	1,004,422.67 (106.62)	997,241.00 (6,671.00)	(7,181.67)	0.00	937.50	5,343.75	2.45	1.00	
3135G0NX7 FNMA	Call 09/13/12	1.000	03/13/17 09/13/13	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	984,097.00 (5,729.00)	(15,903.00)	0.00	833.34	4,666.67	2.43	1.00	
3133ECMM3 FFCB	05/21/13	0.600	04/25/17	1,000,000.00	996,210.00 433.33	996,482.03 81.87	979,366.00 (3,814.00)	(17,116.03)	0.00	500.00	2,100.00	2.42	0.70	
3136G0WX5 FNMA	Call 10/10/12	0.500V	08/24/17 02/24/14	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	989,230.00 (5,702.00)	(10,770.00)	2,500.00	416.66	97.22	2.43	0.50	
3134G3J68 FHLMC	06/03/13	0.900	09/18/17	1,000,000.00	994,800.00 1,875.00	995,098.47 102.81	976,543.00 (9,077.00)	(18,555.47)	0.00	750.00	4,075.00	2.42	1.02	
3135G0PU1 FNMA	Call 11/19/12	1.000	10/11/17 10/11/13	1,000,000.00	1,001,000.00 0.00	1,000,122.70 (95.09)	975,208.00 (7,205.00)	(24,914.70)	0.00	833.33	3,888.89	2.43	0.89	
3136G03Z2 FNMA	Call 10/30/12	0.500V	10/30/17 10/30/13	1,000,000.00	999,000.00 0.00	999,167.58 16.98	986,474.00 (6,231.00)	(12,693.58)	0.00	416.67	1,680.56	2.43	1.16	
3136G05Q0 FNMA	Call 12/10/12	0.700V	11/27/17 11/27/13	1,000,000.00	999,900.00 0.00	999,914.62 1.71	980,628.00 (6,617.00)	(19,286.62)	0.00	583.34	1,827.78	2.43	1.03	
3136G1BG3 FNMA	Call 01/30/13	0.750V	01/30/18 01/30/14	1,000,000.00	999,750.00 0.00	999,779.30 4.25	977,561.00 (6,847.00)	(22,218.30)	0.00	625.00	645.83	2.43	1.06	
3135G0WB5 FNMA	Call 04/18/13	1.200	04/18/18 10/18/13	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	970,910.00 (7,799.00)	(29,090.00)	0.00	1,000.00	4,433.33	2.43	1.20	
3133ECMF8 FFCB	Call 04/26/13	0.980	04/24/18 04/24/14	1,500,000.00	1,498,500.00 81.67	1,498,605.26 25.49	1,449,396.00 (9,240.00)	(49,209.26)	0.00	1,225.00	5,185.83	3.64	1.00	
3135G0XM0 FNMA	Call 05/30/13	1.125	05/25/18 11/25/13	1,000,000.00	999,750.00 0.00	999,762.90 4.25	965,025.00 (17,745.00)	(34,737.90)	0.00	937.50	2,843.75	2.43	1.13	
<b>TOTAL (U.S. Instrumentality)</b>					27,442,857.14	27,542,652.77 2,390.00	27,482,169.89 (3,216.54)	27,213,618.31 (130,322.53)	(268,551.58)	23,000.00	22,210.43	78,682.35	66.99	

**City of Englewood  
Securities Held  
August 31, 2013**

CUSIP/ Description	Purchase Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost/ Accrued Interest Purchased	Amortized Cost/ Accretion (Amortization)	Fair Value/ Change In Fair Value	Unrealized Gain (Loss)	Interest Received	Interest Earned	Total Accrued Interest	% Port Cost	Yield
<b>Corporate</b>													
36962G4X9 GE Capital	08/25/11	2.100	01/07/14	500,000.00	506,185.00	500,914.18	502,951.50	2,037.32	0.00	875.00	1,575.00	1.23	1.57
06406HBT5 Bank of New York	08/31/11	1.500	01/31/14	600,000.00	611,292.00	601,941.61	602,793.60	851.99	4,500.00	750.00	750.00	1.49	0.71
478160AX2 Johnson & Johnson	05/20/11	1.200	05/15/14	1,000,000.00	998,830.00	999,725.46	1,005,695.00	5,969.54	0.00	1,000.00	3,533.33	2.43	1.24
59217GAM1 Met Life Glob	09/19/12	2.000	01/09/15	1,000,000.00	1,027,020.00	1,015,884.68	1,015,500.00	(384.68)	0.00	1,666.67	2,888.89	2.50	0.81
89233P6J0 Toyota Motor Credit	04/12/13	0.875	07/17/15	1,500,000.00	1,511,625.00	1,509,626.51	1,506,589.50	(3,037.01)	0.00	1,093.75	1,604.17	3.68	0.53
369604BE2 GENERAL ELECTRIC	10/30/12	0.850	10/09/15	1,000,000.00	1,002,470.00	1,001,766.26	999,629.00	(2,137.26)	0.00	708.34	3,352.78	2.44	0.76
742718DS5 Procter & Gumble	08/12/13	1.800	11/15/15	1,000,000.00	1,026,830.00	1,026,179.58	1,024,178.00	(2,001.58)	0.00	950.00	5,300.00	2.50	0.60
459200GU9 IBM Corp	05/17/13	2.000	01/05/16	1,500,000.00	1,554,795.00	1,548,706.67	1,537,116.00	(11,590.67)	0.00	2,500.00	4,666.67	3.78	0.60
931142DE0 Wal-Mart	04/29/13	0.600	04/11/16	1,225,000.00	1,229,506.20	1,228,983.68	1,217,912.15	(11,071.53)	0.00	612.50	2,858.33	2.99	0.47
<b>TOTAL (Corporate)</b>				<b>9,325,000.00</b>	<b>9,468,553.20</b>	<b>9,433,728.63</b>	<b>9,412,364.75</b>	<b>(21,363.88)</b>	<b>4,500.00</b>	<b>10,156.26</b>	<b>26,529.17</b>	<b>23.03</b>	
					<b>4,717.50</b>	<b>(4,630.45)</b>	<b>(25,976.27)</b>						

**GRAND TOTAL**

<b>40,767,857.14</b>	<b>41,117,473.34</b>	<b>40,969,407.36</b>	<b>40,682,759.06</b>	<b>(286,648.30)</b>	<b>27,500.00</b>	<b>35,775.05</b>	<b>113,038.94</b>	<b>100.00</b>
	<b>8,500.94</b>	<b>(9,816.74)</b>	<b>(163,651.55)</b>					

V = variable rate, current rate shown, average rate for Cash & Equivalents

**City of Englewood**  
**GASB 40 - Deposit and Investment Risk Disclosure**  
**August 31, 2013**

CUSIP	Type	Coupon	Maturity Date	Call Date	S&P Rating	Moody Rating	Par Value / Shares	Historical Cost	% Portfolio Hist Cost	Market Value	% Portfolio Mkt Value	Weighted Avg Mkt Dur (Yrs)
<b><u>FNMA</u></b>												
31398A4N9	U.S. Instrumentality	1.000	10/15/2013		AA+	Aaa	1,000,000.00	1,001,170.00	2.43	1,000,838.00	2.46	0.12
3135G0HA4	U.S. Instrumentality	0.750	01/30/2015	01/30/2014	AA+	Aaa	1,000,000.00	1,004,962.00	2.44	1,001,767.00	2.46	0.42
3135G0ES8	U.S. Instrumentality	1.375	11/15/2016		AA+	Aaa	2,300,000.00	2,334,223.63	5.68	2,324,292.60	5.71	3.12
3135G0UC5	U.S. Instrumentality	1.000	02/13/2017	11/13/2013	AA+	Aaa	1,000,000.00	1,000,100.00	2.43	991,122.00	2.44	3.38
3135G0NX7	U.S. Instrumentality	1.000	03/13/2017	09/13/2013	AA+	Aaa	1,000,000.00	1,000,000.00	2.43	984,097.00	2.42	3.44
3136G0WX5	U.S. Instrumentality	0.500	08/24/2017	02/24/2014	AA+	Aaa	1,000,000.00	1,000,000.00	2.43	989,230.00	2.43	3.89
3135G0PU1	U.S. Instrumentality	1.000	10/11/2017	10/11/2013	AA+	Aaa	1,000,000.00	1,001,000.00	2.43	975,208.00	2.40	3.99
3136G03Z2	U.S. Instrumentality	0.500	10/30/2017	10/30/2013	AA+	Aaa	1,000,000.00	999,000.00	2.43	986,474.00	2.42	4.05
3136G05Q0	U.S. Instrumentality	0.700	11/27/2017	11/27/2013	AA+	Aaa	1,000,000.00	999,900.00	2.43	980,628.00	2.41	4.14
3136G1BG3	U.S. Instrumentality	0.750	01/30/2018	01/30/2014	AA+	Aaa	1,000,000.00	999,750.00	2.43	977,561.00	2.40	4.30
3135G0WB5	U.S. Instrumentality	1.200	04/18/2018	10/18/2013	AA+	Aaa	1,000,000.00	1,000,000.00	2.43	970,910.00	2.39	4.46
3135G0XM0	U.S. Instrumentality	1.125	05/25/2018	11/25/2013	AA+	Aaa	1,000,000.00	999,750.00	2.43	965,025.00	2.37	4.57
<b>ISSUER TOTAL</b>							<b>13,300,000.00</b>	<b>13,339,855.63</b>	<b>32.44</b>	<b>13,147,152.60</b>	<b>32.32</b>	<b>3.29</b>
<b><u>FHLMC</u></b>												
3134G3MK3	U.S. Instrumentality	1.000	02/24/2016	02/24/2014	AA+	Aaa	1,000,000.00	1,004,140.00	2.44	1,002,743.00	2.46	0.48
3134G3SE1	U.S. Instrumentality	1.000	03/21/2016	03/21/2014	AA+	Aaa	1,000,000.00	1,003,410.00	2.44	1,002,990.00	2.47	0.55
3134G2UA8	U.S. Instrumentality	1.000	08/20/2014		AA+	Aaa	1,000,000.00	1,007,500.00	2.45	1,007,635.00	2.48	0.97
3134G3MZ0	U.S. Instrumentality	1.100	02/24/2017	02/24/2014	AA+	Aaa	1,000,000.00	1,007,640.00	2.45	995,821.00	2.45	3.41
3134G3J68	U.S. Instrumentality	0.900	09/18/2017		AA+	Aaa	1,000,000.00	994,800.00	2.42	976,543.00	2.40	3.94
<b>ISSUER TOTAL</b>							<b>5,000,000.00</b>	<b>5,017,490.00</b>	<b>12.20</b>	<b>4,985,732.00</b>	<b>12.26</b>	<b>1.86</b>
<b><u>FHLB</u></b>												
3133XWKV0	U.S. Instrumentality	2.375	03/14/2014		AA+	Aaa	1,000,000.00	1,030,620.00	2.51	1,011,969.00	2.49	0.53
313370JS8	U.S. Instrumentality	1.375	09/12/2014		AA+	Aaa	1,000,000.00	1,011,560.00	2.46	1,012,006.00	2.49	1.02
313380L96	U.S. Instrumentality	0.500	11/20/2015		AA+	Aaa	1,000,000.00	999,680.00	2.43	998,478.00	2.45	2.20
313380S57	U.S. Instrumentality	0.700	10/11/2016	09/11/2013	AA+	Aaa	642,857.14	642,857.14	1.56	635,758.71	1.56	3.06
313378WF4	U.S. Instrumentality	1.125	03/10/2017		AA+	Aaa	1,000,000.00	1,006,070.00	2.45	997,241.00	2.45	3.43
<b>ISSUER TOTAL</b>							<b>4,642,857.14</b>	<b>4,690,787.14</b>	<b>11.41</b>	<b>4,655,452.71</b>	<b>11.44</b>	<b>1.96</b>
<b><u>FFCB</u></b>												
3133EC3U6	U.S. Instrumentality	0.280	11/21/2014	09/21/2013	AA+	Aaa	1,000,000.00	999,250.00	2.43	1,000,004.00	2.46	0.06
3133EAWZ7	U.S. Instrumentality	0.790	07/11/2016	09/11/2013	AA+	Aaa	1,000,000.00	1,000,560.00	2.43	996,515.00	2.45	2.82
3133ECMM3	U.S. Instrumentality	0.600	04/25/2017		AA+	Aaa	1,000,000.00	996,210.00	2.42	979,366.00	2.41	3.59

**City of Englewood**  
**GASB 40 - Deposit and Investment Risk Disclosure**  
**August 31, 2013**

CUSIP	Type	Coupon	Maturity Date	Call Date	S&P Rating	Moody Rating	Par Value / Shares	Historical Cost	% Portfolio Hist Cost	Market Value	% Portfolio Mkt Value	Weighted Avg Mkt Dur (Yrs)
3133ECMF8	U.S. Instrumentality	0.980	04/24/2018	04/24/2014	AA+	Aaa	1,500,000.00	1,498,500.00	3.64	1,449,396.00	3.56	4.50
<b>ISSUER TOTAL</b>							4,500,000.00	4,494,520.00	10.93	4,425,281.00	10.88	2.92

**T-Note**

912828MH0	U.S. Treasury	2.250	01/31/2015		AA+	Aaa	1,000,000.00	1,061,484.38	2.58	1,027,852.00	2.53	1.40
912828SP6	U.S. Treasury	0.375	04/15/2015		AA+	Aaa	1,000,000.00	1,001,093.75	2.43	1,000,859.00	2.46	1.62
912828QP8	U.S. Treasury	1.750	05/31/2016		AA+	Aaa	1,000,000.00	1,046,953.13	2.55	1,028,438.00	2.53	2.68
<b>ISSUER TOTAL</b>							3,000,000.00	3,109,531.26	7.56	3,057,149.00	7.51	1.90

**IBM Corp**

459200GU9	Corporate	2.000	01/05/2016		AA-	Aa3	1,500,000.00	1,554,795.00	3.78	1,537,116.00	3.78	2.29
<b>ISSUER TOTAL</b>							1,500,000.00	1,554,795.00	3.78	1,537,116.00	3.78	2.29

**Toyota Motor Credit**

89233P6J0	Corporate	0.875	07/17/2015		AA-	Aa3	1,500,000.00	1,511,625.00	3.68	1,506,589.50	3.70	1.86
<b>ISSUER TOTAL</b>							1,500,000.00	1,511,625.00	3.68	1,506,589.50	3.70	1.86

**Wal-Mart**

931142DE0	Corporate	0.600	04/11/2016		AA	Aa2	1,225,000.00	1,229,506.20	2.99	1,217,912.15	2.99	2.58
<b>ISSUER TOTAL</b>							1,225,000.00	1,229,506.20	2.99	1,217,912.15	2.99	2.58

**Procter & Gamble**

742718DS5	Corporate	1.800	11/15/2015		AA-	Aa3	1,000,000.00	1,026,830.00	2.50	1,024,178.00	2.52	2.16
<b>ISSUER TOTAL</b>							1,000,000.00	1,026,830.00	2.50	1,024,178.00	2.52	2.16

**Met Life Glob**

59217GAM1	Corporate	2.000	01/09/2015		AA-	Aa3	1,000,000.00	1,027,020.00	2.50	1,015,500.00	2.50	1.34
<b>ISSUER TOTAL</b>							1,000,000.00	1,027,020.00	2.50	1,015,500.00	2.50	1.34

**Johnson & Johnson**

478160AX2	Corporate	1.200	05/15/2014		AAA	Aaa	1,000,000.00	998,830.00	2.43	1,005,695.00	2.47	0.70
<b>ISSUER TOTAL</b>							1,000,000.00	998,830.00	2.43	1,005,695.00	2.47	0.70

**City of Englewood**  
**GASB 40 - Deposit and Investment Risk Disclosure**  
**August 31, 2013**

CUSIP	Type	Coupon	Maturity Date	Call Date	S&P Rating	Moody Rating	Par Value / Shares	Historical Cost	% Portfolio Hist Cost	Market Value	% Portfolio Mkt Value	Weighted Avg Mkt Dur (Yrs)
<b><u>GENERAL ELECTRIC CO</u></b>												
369604BE2	Corporate	0.850	10/09/2015		AA+	Aa3	1,000,000.00	1,002,470.00	2.44	999,629.00	2.46	2.08
<b>ISSUER TOTAL</b>							1,000,000.00	1,002,470.00	2.44	999,629.00	2.46	2.08
<b><u>ING Funding</u></b>												
4497W0Y10	Commercial Paper	0.000	11/01/2013		A-1	P-1	1,000,000.00	996,736.11	2.42	999,627.00	2.46	0.00
<b>ISSUER TOTAL</b>							1,000,000.00	996,736.11	2.42	999,627.00	2.46	0.00
<b><u>Bank of New York</u></b>												
06406HBT5	Corporate	1.500	01/31/2014		A+	Aa3	600,000.00	611,292.00	1.49	602,793.60	1.48	0.42
<b>ISSUER TOTAL</b>							600,000.00	611,292.00	1.49	602,793.60	1.48	0.42
<b><u>GE Capital</u></b>												
36962G4X9	Corporate	2.100	01/07/2014		AA+	A1	500,000.00	506,185.00	1.23	502,951.50	1.24	0.35
<b>ISSUER TOTAL</b>							500,000.00	506,185.00	1.23	502,951.50	1.24	0.35

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<b>GRAND TOTAL</b>	<b>40,767,857.14</b>	<b>41,117,473.34</b>	<b>100.00</b>	<b>40,682,759.06</b>	<b>100.00</b>	<b>2.38</b>
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Highlighted totals are issuers representing 5.00% or more of the portfolio's market value

**City of Englewood  
Securities Purchased  
August 1, 2013 - August 31, 2013**

CUSIP/ Description	Purchase Date	Rate/Coupon	Maturity/ Call Date	Par Value/ Shares	Unit Cost	Principal Cost	Accrued Interest Purchased	Yield
<b><u>U.S. Treasury</u></b>								
912828SP6 T-Note	08/29/2013	0.375	04/15/2015	1,000,000.00	100.109	1,001,093.75	1,393.44	0.31
<b>TOTAL (U.S. Treasury)</b>				1,000,000.00		1,001,093.75	1,393.44	
<b><u>Corporate</u></b>								
742718DS5 Procter & Gambl	08/12/2013	1.800	11/15/2015	1,000,000.00	102.683	1,026,830.00	4,350.00	0.60
<b>TOTAL (Corporate)</b>				1,000,000.00		1,026,830.00	4,350.00	
<b>GRAND TOTAL</b>				2,000,000.00		2,027,923.75	5,743.44	

V = variable rate, current rate shown, average rate for Cash & Equivalents

**City of Englewood**  
**Securities Sold and Matured**  
**August 1, 2013 - August 31, 2013**

CUSIP/ Description	Sale or Maturity Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost	Amortized Cost at Sale or Maturity / Accr/ (Amort)	Sale/ Maturity Price	Fair Value at Sale or Maturity / Chg.In Fair Value	Realized Gain (Loss)	Accrued Interest Sold	Interest Received	Interest Earned	Yield
<b>Corporate</b>													
12572QAA3 CME GROUP INC	08/01/2013	5.400	08/01/2013	1,000,000.00	1,088,050.00	1,000,000.00	100.00	1,000,000.00	0.00	0.00	27,000.00	0.00	0.92
06406HBK4 Bank of New Yor	08/27/2013	5.125	08/27/2013	1,000,000.00	1,113,040.00	1,000,000.00	100.00	1,000,000.00 (2,957.00)	0.00	0.00	25,625.00	3,701.39	1.27
<b>TOTAL (Corporate)</b>				2,000,000.00	2,201,090.00	2,000,000.00		2,000,000.00 (2,957.00)	0.00	0.00	52,625.00	3,701.39	
<b>GRAND TOTAL</b>				2,000,000.00	2,201,090.00	2,000,000.00		2,000,000.00 (2,681.61)	0.00	0.00	52,625.00	3,701.39	

V = variable rate, current rate shown, average rate for Cash & Equivalents

**City of Englewood  
Transaction Report  
for the period August 1, 2013 - August 31, 2013**

Date	CUSIP	Transaction	Sec Type	Description	Maturity	PAR Value/Shares	Principal	Interest	Transaction Total	Balance
08/01/2013	06406HBT5	Interest	COR	Bank of New York	01/31/2014	600,000.00	0.00	4,500.00	4,500.00	4,500.00
08/01/2013	12572QAA3	Maturity	COR	CME GROUP INC	08/01/2013	1,000,000.00	1,000,000.00	0.00	1,000,000.00	1,004,500.00
08/01/2013	12572QAA3	Interest	COR	CME GROUP INC	08/01/2013	1,000,000.00	0.00	27,000.00	27,000.00	1,031,500.00
08/12/2013	742718DS5	Bought	COR	Procter & Gamble	11/15/2015	1,000,000.00	1,026,830.00	4,350.00	(1,031,180.00)	320.00
08/13/2013	3135G0UC5	Interest	INS	FNMA	02/13/2017	1,000,000.00	0.00	5,000.00	5,000.00	5,320.00
08/20/2013	3134G2UA8	Interest	INS	FHLMC	08/20/2014	1,000,000.00	0.00	5,000.00	5,000.00	10,320.00
08/24/2013	3134G3MK3	Interest	INS	FHLMC	02/24/2016	1,000,000.00	0.00	5,000.00	5,000.00	15,320.00
08/24/2013	3134G3MZ0	Interest	INS	FHLMC	02/24/2017	1,000,000.00	0.00	5,500.00	5,500.00	20,820.00
08/24/2013	3136G0WX5	Interest	INS	FNMA	08/24/2017	1,000,000.00	0.00	2,500.00	2,500.00	23,320.00
08/27/2013	06406HBK4	Maturity	COR	Bank of New York	08/27/2013	1,000,000.00	1,000,000.00	0.00	1,000,000.00	1,023,320.00
08/27/2013	06406HBK4	Interest	COR	Bank of New York	08/27/2013	1,000,000.00	0.00	25,625.00	25,625.00	1,048,945.00
08/29/2013	912828SP6	Bought	TSY	T-Note	04/15/2015	1,000,000.00	1,001,093.75	1,393.44	(1,002,487.19)	46,457.81
<b>Portfolio Activity Total</b>										<b>46,457.81</b>

<b>Net Contributions:</b>	<b>0.00</b>
<b>Net Withdrawals:</b>	<b>43,058.70</b>

<b>Fees Charged:</b>	<b>3,399.11</b>
<b>Fees Paid:</b>	<b>3,399.11</b>

**City of Englewood  
Securities Bid and Offer  
for the period 8/1/2013 - 8/31/2013**

Trans	Settle	Description	Call Date	Broker	Par Value	Discount	Price	YTM/YTC	Competitive Bids
BUY	08/12/2013	PG 1.8 11/15/2015		BAR	1,000,000		102.683	0.60	UBS - FHLMC 0.45 11/24/15 @ YTM 0.40 MER - TSY NOTE 0.375 11/15/15 @ YTM 0.38
BUY	08/29/2013	T-Note .375 04/15/2015		JEF	1,000,000		100.109	0.31	MIZ @ 100-3.5 MER @ 100-3.5

**City of Englewood  
Upcoming Cash Activity  
for the next 45 days**

Date	Transaction	CUSIP	Description	Coupon	Maturity Date	Next Call Date	Par / Shares	Principal	Interest	Transaction Total
09/10/2013	Interest	313378WF4	FHLB	1.125	03/10/2017		1,000,000.00	0.00	5,625.00	5,625.00
09/12/2013	Interest	313370JS8	FHLB	1.375	09/12/2014		1,000,000.00	0.00	6,875.00	6,875.00
09/13/2013	Interest	3135G0NX7	FNMA	1.000	03/13/2017	09/13/2013	1,000,000.00	0.00	5,000.00	5,000.00
09/14/2013	Interest	3133XWKV0	FHLB	2.375	03/14/2014		1,000,000.00	0.00	11,875.00	11,875.00
09/18/2013	Interest	3134G3J68	FHLMC	0.900	09/18/2017		1,000,000.00	0.00	4,500.00	4,500.00
09/21/2013	Interest	3134G3SE1	FHLMC	1.000	03/21/2016	03/21/2014	1,000,000.00	0.00	5,000.00	5,000.00
10/09/2013	Interest	369604BE2	GENERAL ELECTRIC	0.850	10/09/2015		1,000,000.00	0.00	4,250.00	4,250.00
10/11/2013	Interest	931142DE0	Wal-Mart	0.600	04/11/2016		1,225,000.00	0.00	3,675.00	3,675.00
10/11/2013	Potential Call	3135G0PU1	FNMA	1.000	10/11/2017	10/11/2013	1,000,000.00	1,000,000.00	5,000.00	1,005,000.00
10/11/2013	Interest	313380S57	FHLB	0.700	10/11/2016	09/11/2013	642,857.14	0.00	2,250.00	2,250.00
10/15/2013	Maturity	31398A4N9	FNMA	1.000	10/15/2013		1,000,000.00	1,000,000.00	5,000.00	1,005,000.00
10/15/2013	Interest	912828SP6	T-Note	0.375	04/15/2015		1,000,000.00	0.00	1,875.00	1,875.00

# END OF REPORTS



**New York Office**  
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Armonk, NY 10504  
**Tel: 866 766 3030**  
**Fax: 914 765 3030**

**Colorado Office**  
1900 Sixteenth Street, Suite 200  
Denver, CO 80202  
**Tel: 303 860 1100**  
**Fax: 303 860 0016**

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For any questions concerning this report please contact accounting either by phone or email to [camreports@cutwater.com](mailto:camreports@cutwater.com).



# Summary Statement

August 2013

City of Englewood  
 1000 Englewood Parkway  
 Englewood, CO 80110-2304  
 U.S.A.

## COLOTRUST PLUS+

Average Monthly Yield: 0.12%

		Beginning Balance	Contributions	Withdrawals	Income Earned	Income Earned YTD	Average Daily Balance	Month End Balance
CO-01-0074-8001	General - 8001	3,425,134.99	1,443,282.12	0.00	407.13	3,785.60	4,161,291.43	4,868,862.99
CO-01-0074-8005	2003 GOLF RESERVE	293,500.00	0.00	0.00	28.78	264.52	293,500.00	293,500.00
CO-01-0074-8006	2012 WATER BONDS	3,338,611.87	0.00	880,205.97	279.45	4,217.92	2,856,076.96	2,458,685.35
CO-01-0074-8007	2001 STORM RESERVE	102,500.00	0.00	0.00	9.97	92.05	102,500.00	102,500.00
CO-01-0074-8008	2009 WATER BONDS	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>		<b>7,159,746.86</b>	<b>1,443,282.12</b>	<b>880,205.97</b>	<b>725.33</b>	<b>8,360.09</b>	<b>7,413,368.39</b>	<b>7,723,548.34</b>



## MEMORANDUM

To: City Manager's Office

From: John Bock, Utilities Manager of Administration

Date: September 12, 2013

Subject: Allen Water Treatment Plant UV Project and W. Layton Ave.

To update everyone on the progress of the UV project at the Allen Treatment Plant, the project is 95% complete with all the remaining construction activities taking place inside the facility. There is no substantial exterior work left to do. For this reason, W. Layton Ave has been reopened to traffic from S. Mariposa St. to S. Windermere St.

At this time the expected completion date for the project is mid-November of this year.

Part of the work being performed is linking the new UV system program with existing SCADA system. This is expected to take about three weeks.

**CITY OF ENGLEWOOD  
2013 CALENDAR OF EVENTS**



Mon., Sept. 16	6:00 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues., Sept. 17	7:00 p.m.	Planning and Zoning, City Council Conf. Room/Council Chambers
Wed., Sept. 18	6:30 p.m.	Code Enforcement Advisory Board, City Council Conf. Rm.
	7:00 p.m.	Local Liquor and Medical Marijuana Licensing Authority, City Council Chambers
Mon., Sept. 23	6:00 p.m.	Executive Session, City Council Conference Room
	6:45 p.m.	Study Session, Community Room
Mon. Sept. 30	<b>Cancelled</b>	Study Session
Wed., Oct. 2	4:00 p.m.	Englewood Housing Authority Board Meeting, Housing Authority Board Room
	5:45 p.m.	Cultural Arts Commission, City Council Conference Room
	7:00 p.m.	Local Liquor and Medical Marijuana Licensing Authority, City Council Chambers
Mon., Oct. 7	6:00 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues., Oct. 8	5:00 p.m.	Water and Sewer Board, Comm. Dev. Conference Room
	6:30 p.m.	Keep Englewood Beautiful, Council Conference Room
	7:00 p.m.	Planning and Zoning, City Council Conf. Room/Council Chambers
Wed., Oct. 9	7:00 p.m.	Board of Adjustment and Appeals, Council Chambers
	6:30 p.m.	Englewood Urban Renewal Authority, Community Development Conference Room
Thurs., Oct. 10	11:30 a.m.	Alliance for Commerce, City Council Conference Room
	5:30 p.m.	Parks and Recreation Commission, Englewood Service Center, Training Room
Mon., Oct. 14	6:00 p.m.	Executive Session, City Council Conference Room

Tue., Oct. 15	7:00 p.m.	Library Board, Library Board Room
Wed., Oct. 16	7:00 p.m.	Local Liquor and Medical Marijuana Licensing Authority, City Council Chambers
Mon., Oct. 21	6:00 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues., Oct. 22	7:00 p.m.	Planning and Zoning, City Council Conf. Room/Council Chambers
Mon., Oct. 28	6:00 p.m.	Study Session, Community Room
Mon., Nov. 4	6:00 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues., Nov. 5	7:00 p.m.	Planning and Zoning, City Council Conf. Room/Council Chambers
Wed., Nov. 6	4:00 p.m.	Englewood Housing Authority Board Meeting, Housing Authority Board Room
	5:45 p.m.	Cultural Arts Commission, City Council Conference Room
	7:00 p.m.	Local Liquor and Medical Marijuana Licensing Authority, City Council Chambers
<b>Mon., Nov. 11</b>		<b>City Hall closed — Veteran's Day</b>
Tues., Nov. 12	4:00 p.m.	NonEmergency Employees Retirement Plan Board, Public Works Conference Room
	6:00 p.m.	Study Session, Community Room
	7:00 p.m.	Library Board, Library Board Room
	6:30 p.m.	Keep Englewood Beautiful, Council Conference Room
Wed., Nov. 13	5:00 p.m.	Water and Sewer Board, Comm. Dev. Conference Room
	7:00 p.m.	Board of Adjustment and Appeals, Council Chambers
	6:30 p.m.	Englewood Urban Renewal Authority, Community Development Conference Room
Thurs., Nov. 14	11:30 a.m.	Alliance for Commerce, City Council Conference Room
	3:00 p.m.	Police Officers Pension Plan Board, Public Works Conference Room

**TENTATIVE**

**STUDY SESSIONS TOPICS  
FOR ENGLEWOOD CITY COUNCIL**



September 23	Study Session Executive Session – Real Estate 2014 Proposed Budget
September 30	No meeting scheduled – 5 <sup>th</sup> Monday
October 7	Study Session & Regular Meeting Belm, Germany Sister City Visit RTD Parking and Bates Station Alternatives 2014 Business Summit Aid To Other Agencies
October 14	Study Session Executive Session – Personnel
October 21	Study Session & Regular Meeting Financial Report River Run Development City/School IGA reviews
October 28	Study Session Board and Commission Reports
November 4	Study Session & Regular Meeting Board and Commission Members Reappointment Discussion
November 11	Study Session - Veteran's Day Holiday – no meeting scheduled
November 18	Study Session & Regular Meeting New Council Swearing-In Ceremony @ Regular Meeting
November 25	Study Session City Council's participation on Boards/Commissions discussion Board and Commission Reports
December 2	Study Session & Regular Meeting

December 9	Study Session Board and Commission Reports
December 16	Regular Meeting Holiday Dinner – No Study Session Scheduled
December 23	Christmas Holiday – no meeting planned
December 30	Christmas Holiday – no meeting planned

### **FUTURE STUDY SESSION TOPICS**

- Citizen Engagement/Mindmixer
- Nextdoor Network
- Public Comment Process
- Security Camera Update
- South Platte River Bike Trail
- City/School Agreements
- BID Assets/Additional Information
- Metro Fire Training Center Options



*Englewood Public Library Board*

1000 Englewood Parkway, Englewood, CO 80110  
303-762-2572 www.engagewoodgov.org



**MINUTES  
Englewood Public Library Board  
August 13, 2013**

**Call To Order/Roll Call**

Vice-Chair Michael Buchanan called the meeting of the Englewood Public Library Board to order at 7:05 p.m.

**PRESENT:** Vicki Howard (School Board Member), Christine Challis, Julie Grazulis, Stephanie McNutt, Philippe Ernewein, Michael Buchanan, Michelle Mayer.

**ABSENT:** Mark Adams (excused), Tom Kelly (excused), Jill Wilson (excused), and Amy Martinez (excused).

Also Present: Dorothy Hargrove, Director of Library Services.

**7-13** - That the minutes of the meeting of June 11, 2013 be approved as presented.

Moved by: Ms. McNutt

Seconded by: Mr. Ernewein

The Motion passed unanimously.

**Monthly Statistical and Financial Reports**

Ms. Hargrove reported that circulation activity continues to increase, including growth in the use of digital materials. She also requested that the Board give some consideration to appropriate uses for the remainder of its budget, recommending a decision at the next regular Board meeting in September.

**Other Old Business** - none

**New Business**

Library Board Vice-Chair's Report - none

Library Director's Report – including updates to the Strategic Plan

Ms. Hargrove discussed activities in support of each of the strategic initiatives in the Library's Strategic Plan. Highlights included successful programming in support of the Summer Reading Program, including the KidsStage events held in partnership with the Parks and Recreation Department. She recommended a delay of six months in providing self-checkout technology in order to incorporate new software associated with the Marmot ILS system. She showed an example of the new early literacy

storytime bins created by the Children's Department that are ready for check out to preschools, families, and day care centers. Plans for the remodel of the Children's Department are moving forward.

### **Other New Business**

Ms. Hargrove presented a draft Circulation Policy, updating procedures that reflect participation in the Marmot Library Network. She asked for feedback and further discussion at the next regular Board meeting. Ms. Hargrove provided information on an upcoming program, "An Evening with Recorded Books" and invited the Board, friends, and family to attend this special event.

### **Members' Choice**

Each of the Library Board members shared updates on their personal and professional activities.

There being no further business, Mr. Buchanan adjourned the meeting at 8:35 p.m. The next regular meeting will be held on Tuesday, September 10 at 7:00 p.m.



**ENGLEWOOD URBAN RENEWAL AUTHORITY**  
**Special Meeting**  
**MINUTES**  
**August 21, 2013**



**I. CALL TO ORDER**

The regular meeting of the Englewood Urban Renewal Authority was called to order at 6:00 p.m. in the Community Development Conference Room of the Englewood Civic Center, Chair Rogers presiding.

Present: Roth, Burns, Rogers, Buchanan, Townley, Costello  
Bowman (alternate)

Absent: Cohn

Also Present: Jim Woodward, Council Liaison  
Joe Jefferson, City Council

Staff: Alan White, Community Development Director  
Harold Stitt, Senior Planner  
Chris Neubecker, Senior Planner

**II. APPROVAL OF MINUTES**

- August 14, 2013

Chair Rogers stated that the Minutes of August 14, 2013 were to be considered.

Roth moved:

Costello seconded: THE MINUTES OF AUGUST 14, 2013 BE APPROVED.

AYES: Roth, Burns, Rogers, Buchanan, Townley, Costello  
NAYS: None  
ABSTAIN: None  
ABSENT: Cohn

The motion carried.



### III. PUBLIC FORUM

Barbara Pollock-Walker of Brokers Guild addressed the Authority.



### IV. ACOMA PROPERTY DISCUSSION

Members discussed the two proposals and expressed their individual preferences based on:

1. Vision
2. Parking
3. Rental Rates
4. Completion Timeline
5. Experience

Rogers moved;

Burns seconded: TO MOVE FORWARD WITH EXCLUSIVE NEGOTIATIONS WITH MEDICI COMMUNITIES ON THE ACOMA/ENGLEWOOD PARKWAY/BROADWAY PROJECT.

AYES: Roth, Burns, Rogers, Costello, Buchanan, Townley

NAYS: None

ABSTAIN: None

ABSENT: Cohn

Motion carried.

### V. EXECUTIVE SESSION

Buchanan moved;

Costello seconded: TO ADJOURN TO EXECUTIVE SESSION FOR THE PURPOSES OF NEGOTIATIONS AND REAL ESTATE MATTERS AS AUTHORIZED UNDER C.R.S 24-6-402-4(A) AND (E)

AYES: Roth, Burns, Rogers, Costello, Buchanan, Townley

NAYS: None

ABSTAIN: None

ABSENT: Cohn

Motion carried.

The regular meeting adjourned at 6:40 pm. The regular meeting reconvened at 7:30 pm with all members present.



**VI. DIRECTOR'S CHOICE**

Director White and the Authority's attorney will conduct the negotiations and will report back to the Authority. A tentative joint study session with City Council is scheduled for Tuesday, September 3 at 6:00 pm to discuss the project. Staff will contact members once the date is finalized.

The Authority and staff discussed various methods of transferring the City-owned parcel to the Authority if City Council is agreeable.

The Authority's next regular meeting is scheduled for Wednesday, September 11.

**VII. MEMBER'S CHOICE**

Mr. Woodward updated the Authority on the Englewood Depot. City Council approved the sale to Tom and Patty Parsons who intend to convert it to a letter press museum.

Ms. Townley requested clarification on who would lead the presentation to City Council. Director White and Chair Rogers will be the main presenters, but all Authority members are encouraged to attend.

There was no further business to come before the Authority; the meeting was declared adjourned at 8:00 p.m.



Nancy G. Fenton, Recording Secretary



**ENGLEWOOD URBAN RENEWAL AUTHORITY**

**Executive Session**

**MINUTES**

**August 21, 2013**

**Present:** Roth, Burns, Rogers, Costello, Buchanan, Townley, Bowman  
(alternate)

**Absent:** Cohn

**Also Present:** Jim Woodward, Council Liaison  
Joe Jefferson, Councilmember

**Staff:** Alan White, Director of Community Development  
Harold Stitt, Senior Planner  
Chris Neubecker, Senior Planner



The Authority convened an Executive Session at 6:40 pm to discuss real estate and financial matters as authorized under C.R.S 24-6-402-4(A) AND (E).

Buchanan moved;  
Rogers seconded: To adjourn Executive Session and reconvene the Regular Meeting.

AYES: Roth, Burns, Rogers, Costello, Buchanan, Townley  
NAYS: None  
ABSTAIN: None  
ABSENT: Cohn

The motion carried.

The Executive Session adjourned at 7:30 pm.

  
Nancy G. Fenton, Recording Secretary



City of Englewood

**ENGLEWOOD LIQUOR AND MEDICAL MARIJUANA LICENSING AUTHORITY  
Telephone Poll  
August 21, 2013**

**1. Consideration of Minutes**

- a) Results of the minutes of regular meeting of August 7, 2013.

**Vote results:**

Ayes: Members Michael Buchanan, Jacqueline Edwards, Diane Ostmeyer, Steven Ward, Carolyne Wilmoth

Nays: None

The minutes were approved.

**2. Renewals**

- |    |  |  |
|----|--|--|
| a) | Broadway Discount Liquor<br>4615 S. Broadway | Retail Liquor Store Liquor License<br>Expires November 3, 2013 |
| b) | Magnet Inn<br>2893 S. Broadway               | Tavern Liquor License<br>Expires September 14, 2013            |
| c) | Smashburger<br>3299 S. Broadway              | Hotel/Restaurant Liquor License<br>Expires September 22, 2013  |
| d) | Wal-Mart<br>601 Englewood Parkway            | 3.2% off-premises Liquor License<br>Expires December 2, 2013   |

**Vote results:**

Ayes: Members Buchanan, Edwards, Ostmeyer, Ward, Wilmoth

Nays: None

The renewals were approved.

**3. Transfer of Liquor License and Temporary Permit**

- a) MCD Enterprises LLC dba Broadways Tavern  
3978 S. Broadway  
Tavern Liquor License

**Vote results:**

Ayes: Members Buchanan, Edwards, Ostmeyer, Ward, Wilmoth

Nays: None

The Transfer of Liquor License and Temporary Permit were approved.

\* \* \* \* \*

/s/ Kerry Bush, MMC  
Deputy City Clerk

**ELECTION COMMISSION  
Telephone Poll Results**

**August 28, 2013**

The Telephone Poll was conducted by the City Clerk's office August 28, 2013.

**1 (a) CONSIDERATION OF A POLICY REGARDING THE REFERENDUM PROCESS**

**PROCEDURE FOR COMMENCING THE REFERENDUM PROCESS**  
City of Englewood  
2013

⇒ The referendum process commences by filing, with the City Clerk, a written notice of the intent to protest an ordinance going into effect. It may be in the form of a letter. There is no specific form to be completed. At the time of filing the notice, designate by name and mailing address two persons who shall represent the proponents in all matters affecting the petition and to whom all notice or information concerning the petition shall be mailed.

**Vote results:**

Ayes: Members Berger, Haraldsen, Mayer, Ellis  
Nays: None  
Did not respond: Member Thoemke

**Policy approved.**

/s/ Kerry Bush  
Deputy City Clerk