
Council Newsletter



CITY MANAGER'S NOTES October 11, 2012

Upcoming Council Meetings

City Council will meet on **Monday, October 15, 2012**. An Executive Session will begin at 6:00 p.m. in the City Council Conference Room. The Study Session will begin at 6:30 p.m. in the Community Room. The Regular Meeting will begin at 7:30 p.m. in Council Chamber. The agendas are attached. Sandwiches will be available at 5:30 p.m. in the City Council Conference Room.

There is no meeting on **Monday, October 22, 2012** but there will be a meeting on **Tuesday, October 23, 2012**, in Littleton.

The following meeting is **Monday, October 29, 2012**.

Informative Memoranda

The following are memoranda in response to City Council's requests, as well as other informational items.

1. News Release: Fire Services Study Community Forums (Nov. 7 & 8)
2. News articles concerning the Strings Attached Program in the Englewood and Sheridan Schools, Craig Hospital expansion, City's economic development policy, updated Sign Code, study on potential cooperative fire services with Englewood and Littleton and Fox News in Englewood.
3. Article entitled "Like Good Neighbors, Municipalities Are There" from Colorado Municipalities.
4. Investment Report for September, 2012.
5. Memorandum concerning the 2013 Englewood Calendar Artist Recognition.
6. Memorandum concerning the Project Update.
7. Municipal Court 3rd Quarter Report.
8. Calendar of Events.
9. Tentative Study Session Topics.
10. Minutes from the Urban Renewal Authority meeting of April 11, 2012.
11. Minutes from the Englewood Housing Authority meeting of September 5, 2012.
12. Minutes from the Liquor and Medical Marijuana Licensing Authority meetings on September 5 and September 19, 2012.

Leigh Ann Hoffhines

From: Leigh Ann Hoffhines
Sent: Thursday, October 11, 2012 12:04 PM
To: Johnson, Dacia; '1'; Englewood Herald - Tom Munds
(Kevin Hamm); Millett, Sarah; Tom
Barry (Villager - News Desk (); Clayton
Woullard ()
Cc: Leigh Ann Hoffhines
Subject: Englewood/Littleton seek input on fire services study at Nov. 7 & 8 community forums



City of Englewood, Colorado

City of Littleton

Joint News Release: City of Englewood/City of Littleton

For Immediate Release: October 11, 2012

Media Contacts: Michael Flaherty, Deputy City Manager, City of Englewood, 303-762-2314
Kelli Narde, Director of Communications, City of Littleton, 303-795-3720

Two community forums planned to gather input on a study of potential cooperative fire and emergency medical services

Englewood/Littleton, CO: The Cities of Englewood and Littleton have announced two community forums to gather input on a study of potential cooperative fire and emergency medical services between the Englewood Fire Department and Littleton Fire Rescue:

- **Wednesday, November 7, 2012 at 7 pm**
Littleton Center (Community Room/Council Chambers), 2255 West Berry Avenue, Littleton, Colorado
- **Thursday, November 8, 2012 at 7 pm**
Englewood Civic Center (2nd floor Hampden Hall), 1000 Englewood Parkway, Englewood, Colorado.

Residents who have an interest are encouraged to attend one of these forums.

This past summer, the Cities of Englewood and Littleton entered into a partnership to evaluate opportunities that may exist to enhance service delivery in the most efficient manner. They engaged the services of the consulting firm of Emergency Services Consulting International, an international firm specializing in the analysis of fire, police, communications, and emergency medical services. ESCI is conducting a cooperative study on the feasibility of shared services or a merger and the next step in the process is gathering public input from our residents.

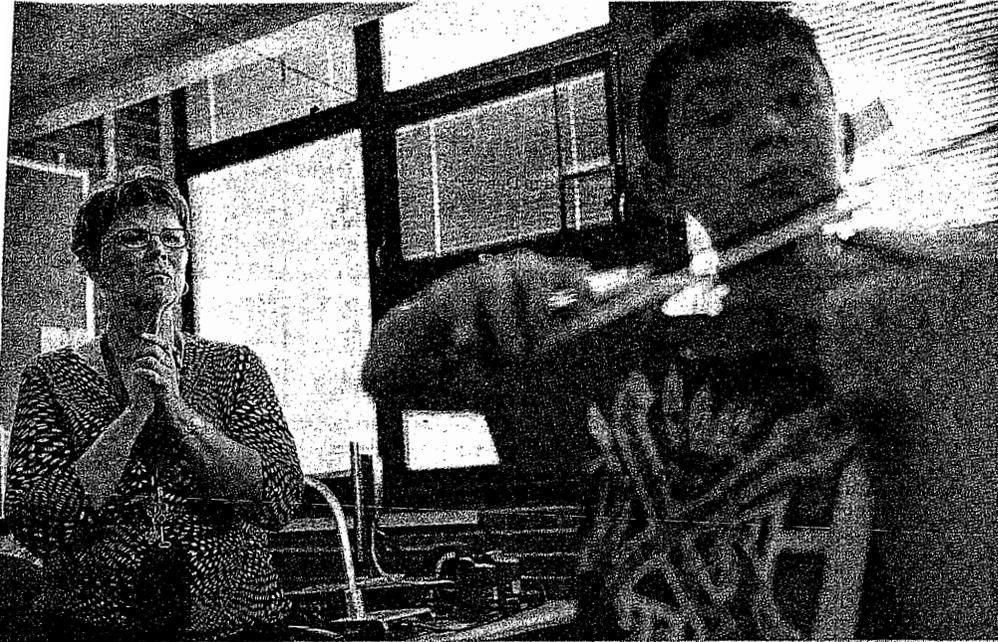
Associates from ESCI will conduct the two community forums, providing a brief introductory presentation and offering an opportunity for stakeholders to provide input and feedback. Residents who wish to speak at the community forums are asked to sign in at 6:30 pm. Speakers will be heard on a first-come basis and are asked to limit their comments to two minutes. Public comments will also be accepted by email at .

#

Leigh Ann Hoffhines
Communications Coordinator
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www.englewoodgov.org | [Facebook](#)

, post your story at YourHub.com or call 720-984-2HUB (2482).



Beth Pfalmer, coordinator for the expanded learning time program at Fort Logan Elementary, is overcome with emotion while sitting in on a fifth-grade violin lesson Oct. 2. The students are taking a semester of violin thanks in part to an Englewood Arts program called Strings Attached that loans string instruments to students in Englewood and Sheridan schools. Pfalmer said she was moved by how well the students were performing. *Lindsay Pierce, YourHub*

Your Schools

Strings Attached an instrument of education in Sheridan and Englewood school districts

By Clayton Woullard
YourHub Reporter

A violin is more than a musical instrument. It can be an instrument of self-empowerment.

This is the first year Sheridan School District's Fort Logan Elementary has participated in Englewood Arts' Strings Attached program, which loans violins, violas and now cellos to students in the Englewood and Sheridan school districts.

Fort Logan is in a low-income neighborhood with 90 percent of the students on free or reduced lunches, where self-confidence can be hard to come by, said Beth Pfalmer, expanded learning time coordinator at the school.

"The program is very impactful," Pfalmer said. "It reaches into their hearts and into their minds and makes them feel like they can accomplish something."

Strings Attached started 10 years ago in Sheridan

and Bishop elementary schools and Charles Hay World School in Englewood, said Ben Tomkins, Strings Attached director in Englewood.

"We just wanted to give the kids an opportunity to have a positive experience with a stringed instrument and to make it affordable," said Eric Bertoluzzi, chair of Englewood Arts.

He said when the program started there had not been a strings program since the 1980s in Englewood. While the program does cost about \$100 for families to rent an instrument, the organization is always fundraising to provide scholarships to kids.

"We just feel that the string instruments are relevant, if not more relevant, because there aren't a lot of jobs out there for people who want to be in professional bands," he said. "But there are orchestras across the country."

While the program is partially funded through a federal grant at Fort Logan this year, that grant will run out at the end of the year so the program's future

is uncertain. Pfalmer said beyond being a confidence builder, the violin playing has demonstrable benefits in students' academic work.

"It's a discipline and exercise of expanding their short-term memory," Pfalmer said.

Ninety kids out of 275 at Fort Logan participate in the program, which has lessons daily. Grades three through five play in Sheridan. In Englewood, it's second through fifth grade, and the orchestra experience for sixth-graders.

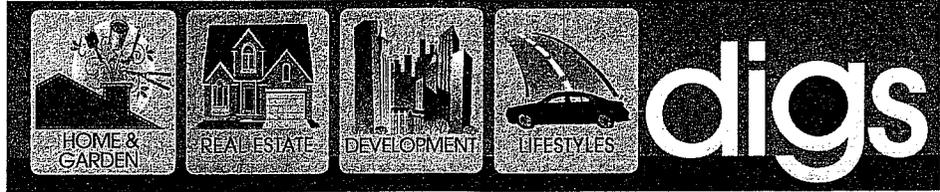
Sheridan instructor Desiree Cedeno-Suarez said playing violin also teaches students the value of organization because if they're not focused their playing is off.

Pfalmer said it also gives students an identity outside of their circumstances.

"When they have an identity for themselves it opens doors to opportunities," Tomkins said.

Clayton Woullard: 303-954-2953 or

*yourhub.com
10.11.12*



Craig Hospital looks to expand

Under plan, part of Clarkson Street would close

By PETER JONES

Craig Hospital has plans to grow, even if Clarkson Street gets in the way.

The Englewood rehabilitation facility has a \$90 million plan to expand into what is now the north-south road that divides either side of the Craig campus and create a greenbelt for patient relaxation and easier pedestrian access.

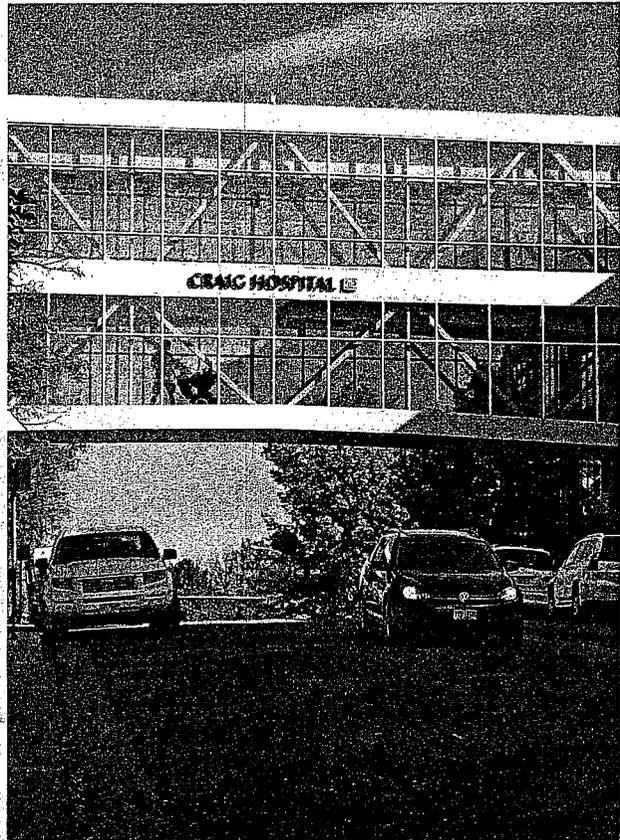
Right now, hospital employees, patients and their families must either cross an enclosed pedestrian bridge or go outside and walk across Clarkson to get from one end of the campus to another.

"The campus is separated by the street and the traffic that goes by there flies by pretty fast," Craig spokeswoman Mary Bonner said. "We're constantly going back and forth across that street. For the pedestrian bridge, you have to use the elevator, and we like to save those for the patients who are in wheelchairs or bedridden."

Additionally, the overall expansion would increase the hospital's size by about 80,000 square feet, adding several private rooms and new therapy centers for the hospital's spinal cord and brain-injured patients.

"A lot of our patients have shared rooms, which hospitals generally don't do these days. There really is a need for privacy when you have two or three patients in there with their families," Bonner said.

The only problem has been Clarkson Street, which patients and families have crossed for years, prompting both safety and aesthetic concerns. The expansion would take care of those problems while simultaneously



A proposal by Craig Hospital calls for closing the north end of one block of Clarkson Street, while still providing access to Swedish Medical Center's parking garage. An outdoor park and garden area for patients and their families would replace much of the north-south street that divides the hospital campus.

Photo by Peter Jones

updating one of the leading rehabilitation hospitals in the United States.

A proposal by an architectural team calls for closing the north end of the block between Girard Avenue and Old Hampden Avenue, while leaving the south end to provide access to neighboring Swedish Medical Center's parking garage.

In place of the north end of the block would be an outdoor park and garden area for patients and their families.

If approved by the Englewood City Council, construction on the project could begin next spring. Calls to the city offices and Mayor Randy Penn were not returned at press time.

*The Villager
10.4.12*

Colorado in swarms. The bill would have vastly expanded frivolous lawsuits because, simply by becoming the target of

senior legislators of companies rallied to squelch this ill-advised measure.

On the bright side, legislators

To learn more about the threat of lawsuits in Colorado, go to www.CO-LAW.org.

Englewood approves economic-development policy

SUBMITTED BY CITY OF ENGLEWOOD

The Englewood City Council has adopted an economic-development incentive policy aimed at attracting businesses and development-related projects that diversify the local economy and enhance the quality of life and the fiscal condition of the city.

The goals of the policy are as follows:

- Create a tool to assist economic development efforts
- Foster diversification of eco-

omic base

- Expand the retail sales tax base
- Assist in attracting desired businesses and jobs
- Assist in redevelopment or reuse of priority sites

At its goal-setting session earlier this year, City Council made economic development a high priority. After months of discussions and research, an incentive policy is now in place that provides for several different types

of incentives and sets forth criteria for eligible projects.

While the new policy will guide discussions, the final decisions will rest with City Council when prospective businesses and developers request assistance in order to locate in Englewood. Determinations will be made by weighing the costs and benefits related to each proposal.

To review the entire incentive policy, visit www.engagewoodgov.org.

The Villager

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Briefing

ENGLEWOOD UPDATED SIGN CODE WENT INTO EFFECT OCT. 8

For more than a year, Englewood's Community Development staff worked with the Planning and Zoning Commission on updates to the city's sign code. The last major update was in 1981.

The updated code addresses electronic message boards, incidental signs, accessory (temporary) signs and murals. It also makes allowances for sandwich boards and other signs on public sidewalks and covers illumination and other sign standards.

The sign code went into effect Oct. 8.

The updated sign code can be found at englewoodgov.org on the "Community Development" page under "Zoning Regulations."

City of Englewood

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10.11.12*

LITTLETON

CITIES SEEK INPUT ABOUT SHARING FIRE, EMS SERVICES

The cities of Englewood and Littleton announced a community forum to solicit public input on a study of potential cooperative fire and emergency medical services between the Englewood Fire Department and Littleton Fire Rescue.

Two community forums will be held: Nov. 7 at 7 p.m. at Littleton City Center, 2255 W. Berry Ave., and Nov. 8 at 7 p.m. at the Englewood Police/Fire Complex at 3615 S. Elati St.

Associates from the consulting firm of Emergency Services Consulting International engaged by Englewood Fire Department and Littleton Fire Rescue will facilitate the forum.

Anyone wishing to speak must sign in beginning at 6:30 p.m. Individuals will be heard on a first-come, first-served basis. Each speaker will be given two minutes. Public comments may also be e-mailed to:

City of Littleton

Town Hall Arts Center executive director resigns. The board of directors for Littleton Town Hall Arts Center has announced that it has accepted the resignation of current executive director Nancy Stalf, effective at the end of November. Stalf has served in this position since October 2008 and she will be leav-

ing to pursue other professional opportunities.

"We appreciate the leadership that Nancy Stalf has provided Town Hall Arts Center in the four years she has been with us. We are confident that, given the stability and positive reputation that Town Hall Arts Center has developed in recent years, we will be able to attract highly qualified candidates for the position of executive director," said Jeff Kirkendall, president of the board.

The board has formed a search committee and plans to publish a position announcement in the near future.

Town Hall Arts Center

ARAPAHOE COUNTY

Sound of the Rockies chorus offers free singing lessons. Boys and men of all ages and singing experience are invited to participate in the fourth annual "Ready, Set, Sing!" program scheduled to run Thursday evenings from 7 to 9 p.m. Oct. 11 to Nov. 8 at Shepherd of the Hills Lutheran Church, 7691 S. University Blvd. in Centennial.

More than 150 guests have joined us for previous free singing lessons (no strings attached), taught by Sound of the Rockies chorus director Darin Drown and our music team.

Interested men, with a love of a cappella singing, may preregister online at voicelessons4free.com, soundoftherockies.com or by e-mailing

Sound of the Rockies

*your hub.com
10.11.12*

A county that counts

Fox News puts the spotlight on Arapahoe

By PETER JONES

As a swing county in a swing state, Arapahoe got much of the attention as the Denver area reeled from the first presidential debate held in Colorado.

This week, Fox News put the spotlight on Arapahoe as part of the network's ongoing series "Counties That Count," which focuses on the "purple" jurisdictions around the country that may help decide the 2012 election.

"We're taking the show on the road to a number of different counties around the country that are swing counties," Fox host Bret Baier said. "We identified about 30 of them months ago and Arapahoe was one of them."

Special Report with Bret Baier arrived in Colorado on Sept. 30, in advance of the network's coverage of the debate three days later at the University of Denver.

The crew spent much of Sunday interviewing random voters and undecided Arapahoe County business owners in Historic Downtown Littleton and Streets at SouthGlenn in Centennial, among other locations.

"The Romney support is a little bit bigger than I thought it was, but this is just anecdotal," Baier said of his local interviews.

The national debt, the economy and education were among the is-



Fox News host Brett Baier hosts Special Report live from the Englewood Light Rail Station on Oct. 1. Arapahoe County was included as part of the network's "Counties that Count" series in advance of the Oct. 3 presidential debate at the University of Denver.

Photo by Peter Jones

ues on the minds of Arapahoe County voters.

On Oct. 1, the Fox host broadcasted live from the elevated Englewood Light Rail Station, interviewing the likes of Republican strategist Karl Rove by remote and talking to local business owners in the nearby Solid Grounds coffee house in Englewood's City Center development.

The shop was chosen after Fox contacted the Greater Englewood Chamber of Commerce for guidance.

"I think it was the luck of the draw," co-owner Brad Nixon said of his business becoming the home base for Monday's broadcast. "I think it's great publicity for Colo-

rado and Englewood."

Solid Grounds saw similar attention several years ago when then-President George W. Bush "dropped in" for an orchestrated cup of coffee.

In recent years, Arapahoe County has been part of the "purpling" of Colorado. Despite its history of Republican control, Arapahoe has become an increasingly competitive battleground.

President Obama's 2008 registration push marked the first time the county has boasted more registered Democrats than Republicans. Unaffiliated voters constitute the majority of registrants and Democrats outnumber Republicans by more than 10,000 registered voters.

The Villager
10.4.12

SPOTLIGHT

LIKE GOOD NEIGHBORS, MUNICIPALITIES ARE THERE

By Kelli Narde, Littleton director of communications, and Leigh Ann Hoffhines, Englewood communications coordinator

WHAT ARE SOFT AND FURRY, WILL LOVE YOU FOREVER, AND ARE MADE POSSIBLE BY AN intergovernmental agreement? They are the cuddly critters at the Humane Society of the South Platte Valley (HSSPV). Opened in December 2009, the HSSPV was created when the Cities of Littleton and Englewood joined forces to create a regional solution to animal care in the south metro area.

The HSSPV came to fruition after more than a year of negotiations, site selection, and financing. "Littleton and Englewood have a shared vision of offering shelter, care, and compassion for lost, stray, and unwanted animals," said HSSPV Chief Executive Officer Nick Fisher.

The HSSPV's 9,100-square-foot building offers three large play yards, a clinic staffed with veterinarians for spay and neuter services, pet adoption, lost and found and redemption, a pet supply retail area, vaccinations, and microchip identification services. The paid staff of 10 is supplemented by 150 volunteers.

The leadership of the cities of Littleton and Englewood are working with other local governments in the south metro area to encourage additional partnerships. Arapahoe County has recently signed on and a new Memorandum of Understanding is under consideration by other communities.

Littleton and Englewood have partnered on even more services — including street repairs, Fourth of July celebrations, and open space acquisitions — but the longest and most successful collaborative effort is the Littleton/Englewood Wastewater Treatment Plant.

The two cities originally operated their own sewage treatment plants, but after Congress passed the Clean Water Act in 1972, it became clear that the two smaller plants would not be able to handle the stricter processing requirements. The Environmental Protection Agency (EPA) recommended the combination of the two wastewater treatment facilities into one, and a landmark cooperative effort was born.

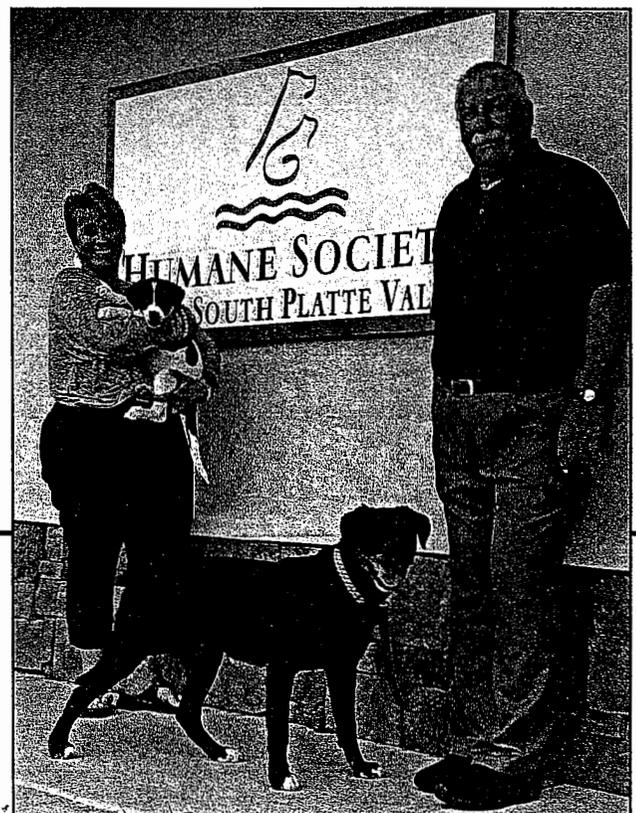
The plant was constructed in the mid-1970s and began operation in 1977. There were growing pains in the early years. Operational, technological, and maintenance problems troubled the plant in the beginning and it took time — and a financial commitment from both cities — to overcome them.

Using the lessons learned from its early trials, the plant has grown to accommodate an expanding population and has added new processing technology to meet increasingly higher treatment standards. Today, it is the third largest publicly owned treatment works in the state of Colorado, serving nearly 300,000 customers in Englewood and Littleton, as well as 21 connector districts throughout the south metro area.

The plant's award-winning agricultural "beneficial use" program uses biosolids as soil amendments. The plant's biosolids are now applied to dryland wheat fields and kept out of landfills. Over the past decade, the plant has become recognized as an educational and research facility. An agreement with Colorado State University provides for a doctoral research assistant to conduct ongoing research on the beneficial use process, providing valuable data that has been used in EPA regulations hearings and additional program development.

Throughout the plant's history, elected leaders and staff from Englewood and Littleton have worked together to solve problems for future processing requirements.

The relationship between the two cities has prospered over the decades and future opportunities for partnership are being explored.



Littleton Mayor Debbie Brinkman and Englewood Mayor Randy Penn believe in partnerships for better service — as do their soft and furry friends.



Cutwater Asset Management
1900 Sixteenth Street, Suite 200
Denver, CO 80202
Tel: 303 860 1100
Fax: 303 860 0016

CITY OF ENGLEWOOD

Report for the period September 1, 2012 - September 30, 2012

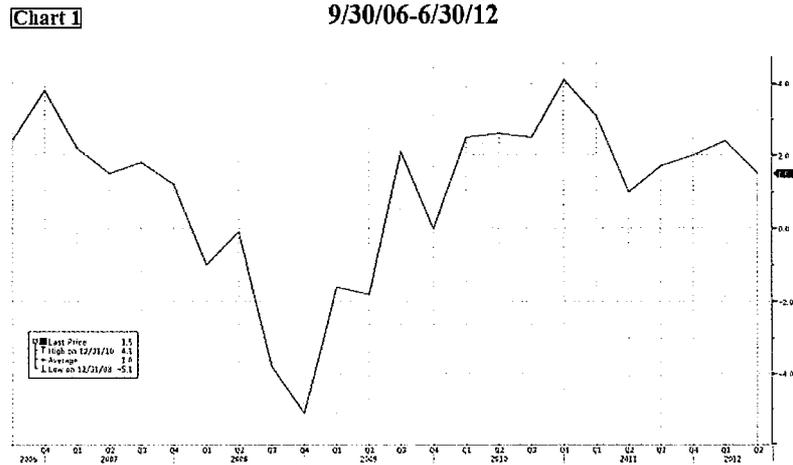
Please contact Accounting by calling the number above or email

with questions concerning this report.

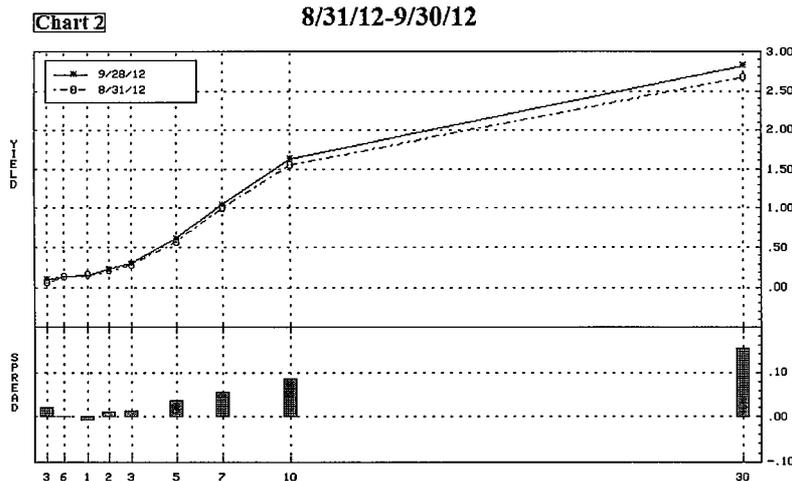
(This report was prepared on October 2, 2012)

Fixed Income Market Review September 30, 2012

U.S. Personal Consumption Expenditures



Treasury Yield Curves



Economic Indicators & Monetary Policy – The U.S. economy is showing further signs of slowing as consumer spending stalled in August after a spike in energy prices curtailed household income, indicating the largest part of the economy is struggling to support the economic recovery. Household purchases increased 0.5 percent with the increase largely attributable to a 0.4 percent surge in gas prices. Spending on durable goods, such as automobiles, increased 0.5 percent in August while purchases of non-durable goods, such as gasoline, advanced 0.3 percent. Services dropped 0.1 percent. Retail sales increased 0.9 percent in August, the most in six months. The gain, however, is mostly due to receipts from auto dealers and service stations, which has left consumers with less money to spend on other items.

A struggling job market and rising gasoline prices could make it more difficult to spark a U.S. expansion. While the unemployment rate dropped from 8.3 percent to 8.1 percent in August, the decrease was due to 368,000 people leaving the labor force. The jobless rate has exceeded 8 percent for 43 consecutive months, the longest span since monthly records began in 1948. Slow growth projections have prompted the Federal Reserve to implement more accommodation measures to help spur the 3-year-old expansion. The Federal Open Market Committee directed the Open Market Trading Desk to begin purchasing \$40 billion per month of mortgage-backed securities and extend the average maturity of its Treasury holdings. These actions are intended to place downward pressure on longer-term interest rates. The Fed plans to hold interest rates “exceptionally” low at least through mid-2015.

During the second quarter 2012, the U.S. economy expanded at a revised 1.3 percent annual rate from a prior 1.7 percent estimate, the weakest pace since 2011. The revision reflects slower consumer spending at clothing and general merchandise stores that may be due to rising fuel costs, elevated unemployment, and the prospect for adverse tax law changes. Personal Expenditures grew at a revised 1.5 percent annual rate. (See Chart 1)

Yield Curve & Spreads – Treasury yields moved higher in September due to mixed economic data.

At the end of September, the 3-month Treasury bill yielded 0.09 percent, 6-month Treasury bill yielded 0.13 percent, 2-year Treasury yielded 0.23 percent, 5-year Treasury yielded 0.63 percent, 10-year Treasury yielded 1.63 percent, and the 30-year Treasury yielded 2.82 percent. (See Chart 2)

Additional Information
September 30, 2012

A current version of the investment adviser brochure, for Cutwater Investor Services Corp., in the form of the Firm's ADV Part 2A is available for your review. Please contact our Client Service Desk at 1-800-395-5505 or mail your request to:

Cutwater Investor Services Corp.
Attention: Client Services
113 King Street
Armonk, NY 10504

A copy of the brochure will be sent to you either by mail or electronically at your option.

In addition, a copy of the most recent version of the Firm's complete Form ADV can be downloaded from the SEC website at www.adviserinfo.sec.gov/.

City of Englewood
Activity and Performance Summary
for the period September 1, 2012 - September 30, 2012

<u>Amortized Cost Basis Activity Summary</u>	
Beginning Amortized Cost Value	36,052,913.80
Additions	
Contributions	0.00
Interest Received	50,531.25
Accrued Interest Sold	0.00
Gain on Sales	508.51
Total Additions	51,039.76
Deductions	
Withdrawals	19,744.62
Fees Paid	3,027.74
Accrued Interest Purchased	3,888.89
Loss on Sales	0.00
Total Deductions	(26,661.25)
Accretion (Amortization) for the Period	(23,547.05)
Ending Amortized Cost Value	36,053,745.26
Ending Fair Value	36,245,590.01
Unrealized Gain (Loss)	191,844.75

<u>Detail of Amortized Cost Basis Return</u>				
	Interest Earned	Accretion (Amortization)	Realized Gain (Loss)	Total Income
Current Holdings				
Commercial Paper	0.00	1,286.67	0.00	1,286.67
U.S. Treasury	3,268.67	(2,317.53)	0.00	951.14
U.S. Instrumentality	21,968.77	(2,952.72)	0.00	19,016.05
Corporate	25,874.18	(18,856.18)	0.00	7,018.00
Sales and Maturities				
U.S. Instrumentality	441.67	6.97	508.51	957.15
Corporate	1,277.78	(714.26)	0.00	563.52
Total	52,831.07	(23,547.05)	508.51	29,792.53

<u>Annualized Comparative Rates of Return</u>			
	Twelve Month Trailing	Six Month Trailing	For the Month
Fed Funds	0.12 %	0.15 %	0.15 %
Overnight Repo	0.13 %	0.18 %	0.23 %
3 Month T-Bill	0.05 %	0.08 %	0.09 %
6 Month T-Bill	0.09 %	0.12 %	0.12 %
1 Year T-Note	0.16 %	0.18 %	0.17 %
2 Year T-Note	0.27 %	0.27 %	0.26 %
5 Year T-Note	0.83 %	0.73 %	0.67 %

<u>Summary of Amortized Cost Basis Return for the Period</u>		
	Total Portfolio	Excl. Cash Eq.
Interest Earned	52,831.07	52,831.07
Accretion (Amortization)	(23,547.05)	(23,547.05)
Realized Gain (Loss) on Sales	<u>508.51</u>	<u>508.51</u>
Total Income on Portfolio	29,792.53	29,792.53
Average Daily Historical Cost	36,332,829.34	36,332,829.34
Annualized Return	1.00%	1.00%
Annualized Return Net of Fees	0.90%	0.90%
Annualized Return Year to Date Net of Fees	1.03%	1.06%
Weighted Average Effective Maturity in Days	435	435

City of Englewood
Activity and Performance Summary
for the period September 1, 2012 - September 30, 2012

<u>Fair Value Basis Activity Summary</u>		
Beginning Fair Value		36,254,664.14
Additions		
Contributions	0.00	
Interest Received	50,531.25	
Accrued Interest Sold	0.00	
Total Additions	50,531.25	
Deductions		
Withdrawals	19,744.62	
Fees Paid	3,027.74	
Accrued Interest Purchased	3,888.89	
Total Deductions	(26,661.25)	
Change in Fair Value for the Period		(32,944.13)
Ending Fair Value		36,245,590.01

<u>Detail of Fair Value Basis Return</u>			
	Interest Earned	Change in Fair Value	Total Income
Current Holdings			
Commercial Paper	0.00	1,751.00	1,751.00
U.S. Treasury	3,268.67	(3,202.00)	66.67
U.S. Instrumentality	21,968.77	(8,302.65)	13,666.12
Corporate	25,874.18	(22,317.48)	3,556.70
Sales and Maturities			
U.S. Instrumentality	441.67	(201.00)	240.67
Corporate	1,277.78	(672.00)	605.78
Total	52,831.07	(32,944.13)	19,886.94

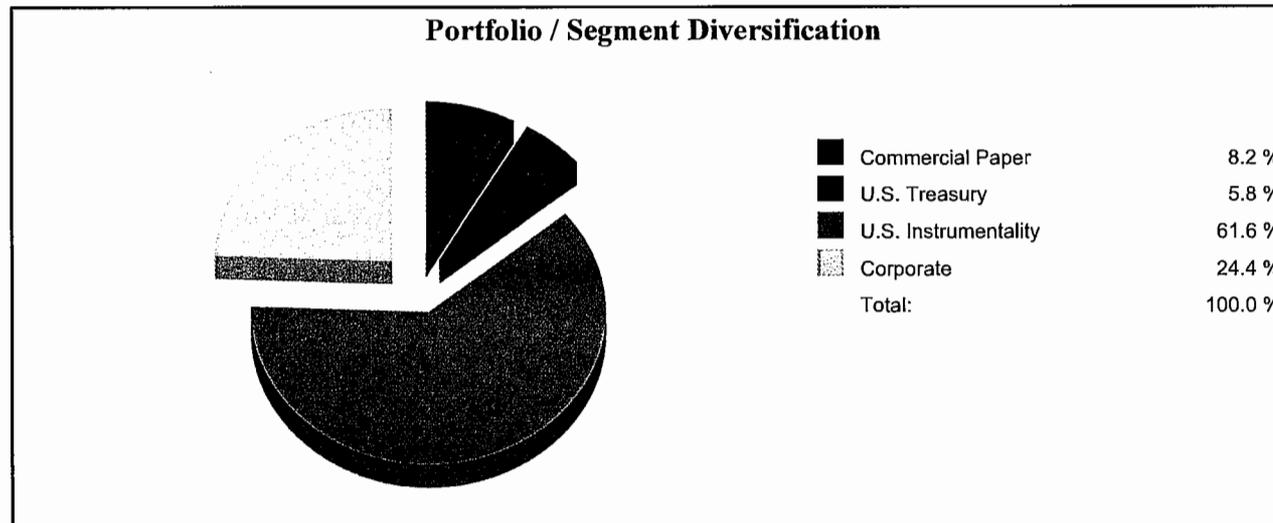
<u>Annualized Comparative Rates of Return</u>			
	Twelve Month Trailing	Six Month Trailing	For the Month
Fed Funds	0.12 %	0.15 %	0.15 %
Overnight Repo	0.13 %	0.18 %	0.23 %
3 Month T-Bill	0.08 %	0.14 %	0.12 %
6 Month T-Bill	0.17 %	0.22 %	0.24 %
1 Year T-Note	0.25 %	0.32 %	0.24 %
2 Year T-Note	0.33 %	0.62 %	-0.12 %
5 Year T-Note	3.54 %	5.54 %	-0.37 %

<u>Summary of Fair Value Basis Return for the Period</u>		
	Total Portfolio	Excl. Cash Eq.
Interest Earned	52,831.07	52,831.07
Change in Fair Value	(32,944.13)	(32,944.13)
Total Income on Portfolio	19,886.94	19,886.94
Average Daily Historical Cost	36,332,829.34	36,332,829.34
Annualized Return	0.67%	0.67%
Annualized Return Net of Fees	0.57%	0.57%
Annualized Return Year to Date Net of Fees	1.19%	1.23%
Weighted Average Effective Maturity in Days	435	435

**City of Englewood
Recap of Securities Held
September 30, 2012**

	Historical Cost	Amortized Cost	Fair Value	Unrealized Gain (Loss)	Weighted Average Final Maturity (Days)	Weighted Average Effective Maturity (Days)	% Portfolio/Segment	Weighted Average Yield *	Weighted Average Market Duration (Years)
Commercial Paper	2,991,622.22	2,994,512.78	2,995,583.00	1,070.22	116	116	8.22	0.57	0.00
U.S. Treasury	2,108,437.51	2,079,146.98	2,094,532.00	15,385.02	1,094	1,094	5.79	0.57	2.91
U.S. Instrumentality	22,408,814.75	22,372,630.36	22,508,122.25	135,491.89	1,214	449	61.58	1.04	1.22
Corporate	8,882,514.20	8,607,455.14	8,647,352.76	39,897.62	351	351	24.41	0.98	0.95
Total	36,391,388.68	36,053,745.26	36,245,590.01	191,844.75	906	435	100.00	0.96	1.15

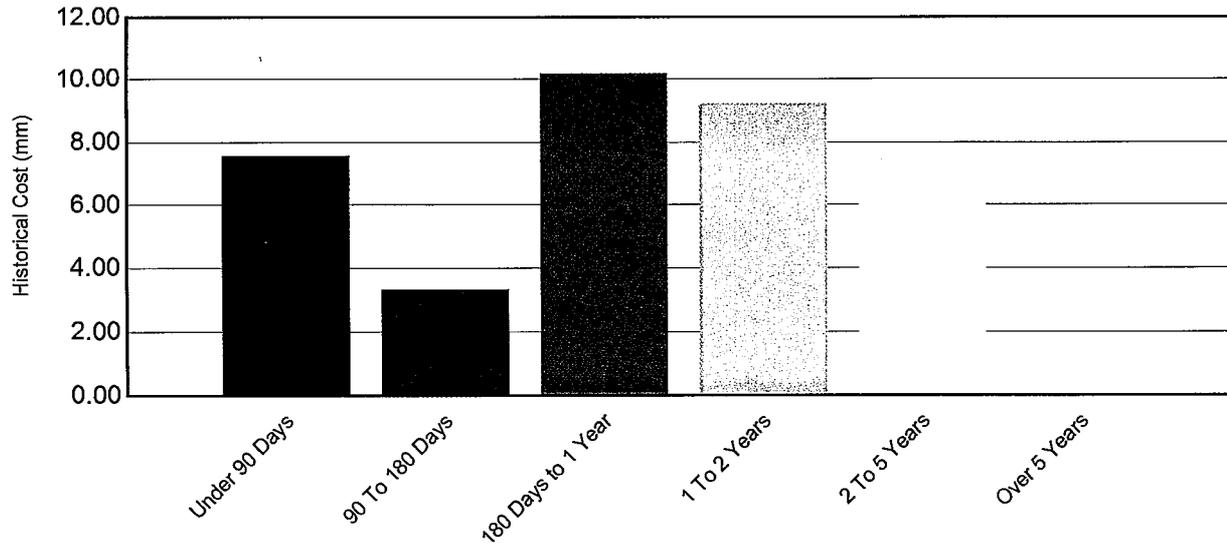
* Weighted Average Yield is calculated on a "yield to worst" basis.



**City of Englewood
Maturity Distribution of Securities Held
September 30, 2012**

Maturity	Historical Cost	Percent
Under 90 Days	7,571,632.22	20.81 %
90 To 180 Days	3,321,230.25	9.13 %
180 Days to 1 Year	10,175,559.70	27.96 %
1 To 2 Years	9,179,669.00	25.22 %
2 To 5 Years	6,143,297.51	16.88 %
Over 5 Years	0.00	0.00 %
	36,391,388.68	100.00 %

Maturity Distribution



**City of Englewood
Securities Held
September 30, 2012**

CUSIP/ Description	Purchase Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost/ Accrued Interest Purchased	Amortized Cost/ Accretion (Amortization)	Fair Value/ Change In Fair Value	Unrealized Gain (Loss)	Interest Received	Interest Earned	Total Accrued Interest	% Port Cost	Yield
Commercial Paper													
85324TMA1 STANDARD CHARTER	06/11/12	0.000	12/10/12	1,000,000.00	997,472.22 0.00	999,027.78 416.67	999,301.00 527.00	273.22	0.00	0.00	0.00	2.74	0.51
48802XHQ2 KELLS FUNDING LLC	08/01/12	0.000	01/28/13	1,000,000.00	997,300.00 0.00	998,215.00 450.00	998,892.00 684.00	677.00	0.00	0.00	0.00	2.74	0.55
4497W0Q68 ING Funding	09/07/12	0.000	03/06/13	1,000,000.00	996,850.00 0.00	997,270.00 420.00	997,390.00 540.00	120.00	0.00	0.00	0.00	2.74	0.64
TOTAL (Commercial Paper)				3,000,000.00	2,991,622.22 0.00	2,994,512.78 1,286.67	2,995,583.00 1,751.00	1,070.22	0.00	0.00	0.00	8.22	
U.S. Treasury													
912828MH0 T-Note	09/15/11	2.250	01/31/15	1,000,000.00	1,061,484.38 0.00	1,042,451.13 (1,494.76)	1,045,938.00 (1,796.00)	3,486.87	0.00	1,834.24	3,790.76	2.92	0.41
912828QP8 T-Note	09/23/11	1.750	05/31/16	1,000,000.00	1,046,953.13 0.00	1,036,695.85 (822.77)	1,048,594.00 (1,406.00)	11,898.15	0.00	1,434.43	5,881.15	2.88	0.73
TOTAL (U.S. Treasury)				2,000,000.00	2,108,437.51 0.00	2,079,146.98 (2,317.53)	2,094,532.00 (3,202.00)	15,385.02	0.00	3,268.67	9,671.91	5.79	
U.S. Instrumentality													
31398A4N9 FNMA	10/15/10	1.000	10/15/13	1,000,000.00	1,001,170.00 0.00	1,000,000.00 0.00	1,006,072.00 (368.00)	6,072.00	0.00	833.33	4,611.11	2.75	1.00
3133XWKV0 FHLB	03/28/11	2.375	03/14/14	1,000,000.00	1,030,620.00 0.00	1,014,970.41 (848.98)	1,030,982.00 (1,359.00)	16,011.59	11,875.00	1,979.17	1,121.53	2.83	1.32
3134G2UA8 FHLMC	11/30/11	1.000	08/20/14	1,000,000.00	1,007,500.00 0.00	1,005,191.15 (226.36)	1,012,454.00 (313.00)	7,262.85	0.00	833.33	1,138.89	2.77	0.72
313370JS8 FHLB	07/28/11	1.375	09/12/14	1,000,000.00	1,011,560.00 0.00	1,007,197.16 (303.68)	1,021,499.00 (272.00)	14,301.84	6,875.00	1,145.83	725.69	2.78	1.00
3136FTHC6 FNMA	Call 10/27/11	1.000	01/26/15 10/26/12	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	1,000,354.00 (368.00)	354.00	0.00	833.34	1,805.56	2.75	1.00
3135G0HA4 FNMA	Call 02/01/12	0.750	01/30/15 01/30/14	1,000,000.00	1,004,962.00 0.00	1,003,308.00 (204.20)	1,004,153.00 (7.00)	845.00	0.00	625.00	1,270.83	2.76	0.50
313373JK9 FHLB	Call 04/29/11	2.100	04/29/15 04/29/13	1,000,000.00	1,002,500.00 0.00	1,000,718.19 (102.60)	1,009,942.00 (1,048.00)	9,223.81	0.00	1,750.00	8,866.67	2.75	1.97
313371AE6 FHLB	Call 10/15/10	1.000V	10/15/15 10/15/12	1,000,000.00	1,001,250.00 0.00	1,000,023.94 (51.30)	1,000,211.00 (381.00)	187.06	0.00	833.33	4,611.11	2.75	0.94
3134G24E9 FHLMC	Call 11/17/11	1.200	11/17/15 05/17/13	1,000,000.00	1,002,500.00 0.00	1,001,042.05 (137.11)	1,004,934.00 (565.00)	3,891.95	0.00	1,000.00	4,466.67	2.75	1.03

**City of Englewood
Securities Held
September 30, 2012**

CUSIP/ Description	Purchase Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost/ Accrued Interest Purchased	Amortized Cost/ Accretion (Amortization)	Fair Value/ Change In Fair Value	Unrealized Gain (Loss)	Interest Received	Interest Earned	Total Accrued Interest	% Port Cost	Yield
313380L96 FHLB	08/23/12	0.500	11/20/15	1,000,000.00	999,680.00 0.00	999,690.54 8.11	1,002,488.00 (76.00)	2,797.46	0.00	416.67	527.78	2.75	0.51
3134G3MK3 FHLMC	Call 02/24/12	1.000	02/24/16 02/24/14	1,000,000.00	1,004,140.00 0.00	1,002,894.04 (169.90)	1,006,685.00 (755.00)	3,790.96	0.00	833.34	1,027.78	2.76	0.79
3134G3SE1 FHLMC	Call 05/25/12	1.000	03/21/16 03/21/14	1,000,000.00	1,003,410.00 0.00	1,002,748.51 (153.84)	1,007,354.00 (874.00)	4,605.49	5,000.00	833.34	277.78	2.76	0.81
3133EAWZ7 FFCB	Call 07/31/12	0.790	07/11/16 07/11/13	1,000,000.00	1,000,560.00 438.89	1,000,459.36 (48.70)	1,002,585.00 46.00	2,125.64	0.00	658.34	1,755.56	2.75	0.73
3136FTFY0 FNMA	Call 10/26/11	1.250V	10/26/16 10/26/12	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	1,000,670.00 (718.00)	670.00	0.00	1,041.66	5,381.94	2.75	1.25
3136FTHY8 FNMA	Call 11/07/11	1.625	11/07/16 11/07/12	1,000,000.00	1,002,410.00 0.00	1,000,243.63 (197.54)	1,001,440.00 (1,071.00)	1,196.37	0.00	1,354.17	6,500.00	2.75	1.38
3135G0ES8 FNMA	11/30/11	1.375	11/15/16	1,000,000.00	1,002,090.00 0.00	1,001,737.05 (34.61)	1,031,084.00 (657.00)	29,346.95	0.00	1,145.83	5,194.44	2.75	1.33
3136FTWP0 FNMA	Call 12/29/11	1.300V	12/28/16 12/28/12	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	1,002,796.00 (809.00)	2,796.00	0.00	1,083.33	3,358.33	2.75	1.30
313378WF4 FHLB	05/10/12	1.125	03/10/17	1,000,000.00	1,006,070.00 0.00	1,005,574.77 (103.17)	1,015,754.00 (3,800.00)	10,179.23	4,906.25	937.50	656.25	2.76	1.00
3135G0NX7 FNMA	Call 09/13/12	1.000	03/13/17 09/13/13	1,000,000.00	1,000,000.00 0.00	1,000,000.00 0.00	1,007,650.00 7,650.00	7,650.00	0.00	500.00	500.00	2.75	1.00
3136FTG60 FNMA	Call 06/08/12	1.300	04/25/17 01/25/13	1,325,000.00	1,327,080.25 2,057.43	1,326,044.63 (270.16)	1,330,472.25 (1,062.65)	4,427.62	0.00	1,435.42	7,464.17	3.65	1.05
3136G0CF6 FNMA	Call 04/26/12	1.125V	04/26/17 04/26/13	1,000,000.00	1,001,000.00 0.00	1,000,567.12 (82.20)	1,005,368.00 (517.00)	4,800.88	0.00	937.50	4,843.75	2.75	1.02
3134G3XE5 FHLMC	Call 06/18/12	1.150	06/07/17 06/07/13	1,000,000.00	1,000,312.50 351.39	1,000,219.81 (26.48)	1,003,175.00 (978.00)	2,955.19	0.00	958.34	3,641.67	2.75	1.12
TOTAL (U.S. Instrumentality)				22,325,000.00	22,408,814.75 2,847.71	22,372,630.36 (2,952.72)	22,508,122.25 (8,302.65)	135,491.89	28,656.25	21,968.77	69,747.51	61.58	

Corporate

87244EAC6 TIAA Global Markets	09/30/11	5.125	10/10/12	1,500,000.00	1,570,500.00 0.00	1,501,687.50 (5,625.00)	1,501,351.50 (5,161.50)	(336.00)	0.00	6,406.25	36,515.63	4.32	0.53
592179JG1 Met Life Global Fund	10/03/11	5.125	04/10/13	500,000.00	525,630.00 0.00	508,820.41 (1,385.41)	512,047.50 (1,458.00)	3,227.09	0.00	2,135.42	12,171.88	1.44	1.69
64953BAP3 NEW YORK LIFE GLO	09/27/11	4.650	05/09/13	1,360,000.00	1,441,967.20 0.00	1,390,564.04 (4,167.82)	1,394,824.16 (3,941.28)	4,260.12	0.00	5,270.00	24,944.67	3.96	0.89
12572QAA3 CME GROUP INC	08/05/11	5.400	08/01/13	1,000,000.00	1,088,050.00 0.00	1,036,818.71 (3,633.42)	1,041,361.00 (3,476.00)	4,542.29	0.00	4,500.00	9,000.00	2.99	0.92

**City of Englewood
Securities Held
September 30, 2012**

CUSIP/ Description	Purchase Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost/ Accrued Interest Purchased	Amortized Cost/ Accretion (Amortization)	Fair Value/ Change In Fair Value	Unrealized Gain (Loss)	Interest Received	Interest Earned	Total Accrued Interest	% Port Cost	Yield
064061HBK4 Bank of New York	08/27/10	5.125	08/27/13	1,000,000.00	1,113,040.00	1,034,035.77	1,043,070.00	9,034.23	0.00	4,270.84	4,840.28	3.06	1.27
36962G4X9 GE Capital	08/25/11	2.100	01/07/14	500,000.00	506,185.00	503,306.76	509,178.00	5,871.24	0.00	875.00	2,450.00	1.39	1.57
06406HBT5 Bank of New York	08/31/11	1.500	01/31/14	600,000.00	611,292.00	606,220.82	608,121.60	1,900.78	0.00	750.00	1,500.00	1.68	0.71
478160AX2 Johnson & Johnson	05/20/11	1.200	05/15/14	1,000,000.00	998,830.00	999,366.21	1,014,731.00	15,364.79	0.00	1,000.00	4,533.33	2.74	1.24
59217GAM1 Met Life Glob	09/19/12	2.000	01/09/15	1,000,000.00	1,027,020.00	1,026,634.92	1,022,668.00	(3,966.92)	0.00	666.67	4,555.56	2.82	0.81
TOTAL (Corporate)				8,460,000.00	8,882,514.20	8,607,455.14	8,647,352.76	39,897.62	0.00	25,874.18	100,511.35	24.41	

GRAND TOTAL				35,785,000.00	36,391,388.68	36,053,745.26	36,245,590.01	191,844.75	28,656.25	51,111.62	179,930.77	100.00	
					6,736.60	(22,839.76)	(32,071.13)						

V = variable rate, current rate shown, average rate for Cash & Equivalents

City of Englewood
GASB 40 - Deposit and Investment Risk Disclosure
September 30, 2012

CUSIP	Type	Coupon	Maturity Date	Call Date	S&P Rating	Moody Rating	Par Value / Shares	Historical Cost	% Portfolio Hist Cost	Market Value	% Portfolio Mkt Value	Weighted Avg Mkt Dur (Yrs)
FNMA												
3136FTHC6	U.S. Instrumentality	1.000	01/26/2015	10/26/2012	AA+	Aaa	1,000,000.00	1,000,000.00	2.75	1,000,354.00	2.76	0.08
3136FTFY0	U.S. Instrumentality	1.250	10/26/2016	10/26/2012	AA+	Aaa	1,000,000.00	1,000,000.00	2.75	1,000,670.00	2.76	0.08
3136FTHY8	U.S. Instrumentality	1.625	11/07/2016	11/07/2012	AA+	Aaa	1,000,000.00	1,002,410.00	2.75	1,001,440.00	2.76	0.11
3136FTWP0	U.S. Instrumentality	1.300	12/28/2016	12/28/2012	AA+	Aaa	1,000,000.00	1,000,000.00	2.75	1,002,796.00	2.77	0.25
3136FTG60	U.S. Instrumentality	1.300	04/25/2017	01/25/2013	AA+	Aaa	1,325,000.00	1,327,080.25	3.65	1,330,472.25	3.67	0.32
3136G0CF6	U.S. Instrumentality	1.125	04/26/2017	04/26/2013	AA+	Aaa	1,000,000.00	1,001,000.00	2.75	1,005,368.00	2.77	0.57
3135G0NX7	U.S. Instrumentality	1.000	03/13/2017	09/13/2013	AA+	Aaa	1,000,000.00	1,000,000.00	2.75	1,007,650.00	2.78	0.95
31398A4N9	U.S. Instrumentality	1.000	10/15/2013		AA+	Aaa	1,000,000.00	1,001,170.00	2.75	1,006,072.00	2.78	1.04
3135G0HA4	U.S. Instrumentality	0.750	01/30/2015	01/30/2014	AA+	Aaa	1,000,000.00	1,004,962.00	2.76	1,004,153.00	2.77	1.33
3135G0ES8	U.S. Instrumentality	1.375	11/15/2016		AA+	Aaa	1,000,000.00	1,002,090.00	2.75	1,031,084.00	2.84	4.00
ISSUER TOTAL							10,325,000.00	10,338,712.25	28.41	10,390,059.25	28.67	0.87
FHLB												
313371AE6	U.S. Instrumentality	1.000	10/15/2015	10/15/2012	AA+	Aaa	1,000,000.00	1,001,250.00	2.75	1,000,211.00	2.76	0.05
313373JK9	U.S. Instrumentality	2.100	04/29/2015	04/29/2013	AA+	Aaa	1,000,000.00	1,002,500.00	2.75	1,009,942.00	2.79	0.58
3133XWKV0	U.S. Instrumentality	2.375	03/14/2014		AA+	Aaa	1,000,000.00	1,030,620.00	2.83	1,030,982.00	2.84	1.44
313370JS8	U.S. Instrumentality	1.375	09/12/2014		AA+	Aaa	1,000,000.00	1,011,560.00	2.78	1,021,499.00	2.82	1.93
313380L96	U.S. Instrumentality	0.500	11/20/2015		AA+	Aaa	1,000,000.00	999,680.00	2.75	1,002,488.00	2.77	3.12
313378WF4	U.S. Instrumentality	1.125	03/10/2017		AA+	Aaa	1,000,000.00	1,006,070.00	2.76	1,015,754.00	2.80	4.33
ISSUER TOTAL							6,000,000.00	6,051,680.00	16.63	6,080,876.00	16.78	1.91
FHLMC												
3134G24E9	U.S. Instrumentality	1.200	11/17/2015	05/17/2013	AA+	Aaa	1,000,000.00	1,002,500.00	2.75	1,004,934.00	2.77	0.63
3134G3XE5	U.S. Instrumentality	1.150	06/07/2017	06/07/2013	AA+	Aaa	1,000,000.00	1,000,312.50	2.75	1,003,175.00	2.77	0.69
3134G3MK3	U.S. Instrumentality	1.000	02/24/2016	02/24/2014	AA+	Aaa	1,000,000.00	1,004,140.00	2.76	1,006,685.00	2.78	1.39
3134G3SE1	U.S. Instrumentality	1.000	03/21/2016	03/21/2014	AA+	Aaa	1,000,000.00	1,003,410.00	2.76	1,007,354.00	2.78	1.47
3134G2UA8	U.S. Instrumentality	1.000	08/20/2014		AA+	Aaa	1,000,000.00	1,007,500.00	2.77	1,012,454.00	2.79	1.88
ISSUER TOTAL							5,000,000.00	5,017,862.50	13.79	5,034,602.00	13.89	1.21
T-Note												
912828MH0	U.S. Treasury	2.250	01/31/2015		AA+	Aaa	1,000,000.00	1,061,484.38	2.92	1,045,938.00	2.89	2.28
912828QP8	U.S. Treasury	1.750	05/31/2016		AA+	Aaa	1,000,000.00	1,046,953.13	2.88	1,048,594.00	2.89	3.55
ISSUER TOTAL							2,000,000.00	2,108,437.51	5.79	2,094,532.00	5.78	2.92

City of Englewood
GASB 40 - Deposit and Investment Risk Disclosure
September 30, 2012

CUSIP	Type	Coupon	Maturity Date	Call Date	S&P Rating	Moody Rating	Par Value / Shares	Historical Cost	% Portfolio Hist Cost	Market Value	% Portfolio Mkt Value	Weighted Avg Mkt Dur (Yrs)
Bank of New York												
06406HBK4	Corporate	5.125	08/27/2013		A+	Aa3	1,000,000.00	1,113,040.00	3.06	1,043,070.00	2.88	0.90
06406HBT5	Corporate	1.500	01/31/2014		A+	Aa3	600,000.00	611,292.00	1.68	608,121.60	1.68	1.33
ISSUER TOTAL							1,600,000.00	1,724,332.00	4.74	1,651,191.60	4.56	1.06
TIAA Global Markets												
87244EAC6	Corporate	5.125	10/10/2012		AA+	Aa1	1,500,000.00	1,570,500.00	4.32	1,501,351.50	4.14	0.03
ISSUER TOTAL							1,500,000.00	1,570,500.00	4.32	1,501,351.50	4.14	0.03
NEW YORK LIFE GLOBAL FDG												
64953BAP3	Corporate	4.650	05/09/2013		AA+	Aaa	1,360,000.00	1,441,967.20	3.96	1,394,824.16	3.85	0.60
ISSUER TOTAL							1,360,000.00	1,441,967.20	3.96	1,394,824.16	3.85	0.60
CME GROUP INC												
12572QAA3	Corporate	5.400	08/01/2013		AA-	Aa3	1,000,000.00	1,088,050.00	2.99	1,041,361.00	2.87	0.83
ISSUER TOTAL							1,000,000.00	1,088,050.00	2.99	1,041,361.00	2.87	0.83
Met Life Glob												
59217GAM1	Corporate	2.000	01/09/2015		AA-	Aa3	1,000,000.00	1,027,020.00	2.82	1,022,668.00	2.82	2.22
ISSUER TOTAL							1,000,000.00	1,027,020.00	2.82	1,022,668.00	2.82	2.22
Johnson & Johnson												
478160AX2	Corporate	1.200	05/15/2014		AAA	Aaa	1,000,000.00	998,830.00	2.74	1,014,731.00	2.80	1.61
ISSUER TOTAL							1,000,000.00	998,830.00	2.74	1,014,731.00	2.80	1.61
FFCB												
3133EAWZ7	U.S. Instrumentality	0.790	07/11/2016	07/11/2013	AA+	Aaa	1,000,000.00	1,000,560.00	2.75	1,002,585.00	2.77	0.78
ISSUER TOTAL							1,000,000.00	1,000,560.00	2.75	1,002,585.00	2.77	0.78
STANDARD CHARTERED BANK												
85324TMA1	Commercial Paper	0.000	12/10/2012		A-1+	P-1	1,000,000.00	997,472.22	2.74	999,301.00	2.76	0.00
ISSUER TOTAL							1,000,000.00	997,472.22	2.74	999,301.00	2.76	0.00
KELLS FUNDING LLC												
48802XHQ2	Commercial Paper	0.000	01/28/2013		A-1+	P-1	1,000,000.00	997,300.00	2.74	998,892.00	2.76	0.00
ISSUER TOTAL							1,000,000.00	997,300.00	2.74	998,892.00	2.76	0.00

City of Englewood
GASB 40 - Deposit and Investment Risk Disclosure
September 30, 2012

CUSIP	Type	Coupon	Maturity Date	Call Date	S&P Rating	Moody Rating	Par Value / Shares	Historical Cost	% Portfolio Hist Cost	Market Value	% Portfolio Mkt Value	Weighted Avg Mkt Dur (Yrs)
ING Funding												
4497W0Q68	Commercial Paper	0.000	03/06/2013		A-1	P-1	1,000,000.00	996,850.00	2.74	997,390.00	2.75	0.00
ISSUER TOTAL							1,000,000.00	996,850.00	2.74	997,390.00	2.75	0.00
Met Life Global Funding												
592179JG1	Corporate	5.125	04/10/2013		AA-	Aa3	500,000.00	525,630.00	1.44	512,047.50	1.41	0.52
ISSUER TOTAL							500,000.00	525,630.00	1.44	512,047.50	1.41	0.52
GE Capital												
36962G4X9	Corporate	2.100	01/07/2014		AA+	A1	500,000.00	506,185.00	1.39	509,178.00	1.40	1.26
ISSUER TOTAL							500,000.00	506,185.00	1.39	509,178.00	1.40	1.26

GRAND TOTAL		35,785,000.00	36,391,388.68	100.00	36,245,590.01	100.00	1.16
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Highlighted totals are issuers representing 5.00% or more of the portfolio's market value

**City of Englewood
Securities Purchased
September 1, 2012 - September 30, 2012**

CUSIP/ Description	Purchase Date	Rate/Coupon	Maturity/ Call Date	Par Value/ Shares	Unit Cost	Principal Cost	Accrued Interest Purchased	Yield
Commercial Paper								
4497W0Q68 ING Funding	09/07/2012	0.000	03/06/2013	1,000,000.00	99.685	996,850.00	0.00	0.64
TOTAL (Commercial Paper)				1,000,000.00		996,850.00	0.00	
U.S. Instrumentality								
3135G0NX7 FNMA	Call 09/13/2012	1.000	03/13/2017 09/13/2013	1,000,000.00	100.000	1,000,000.00	0.00	1.00
TOTAL (U.S. Instrumentality)				1,000,000.00		1,000,000.00	0.00	
Corporate								
59217GAMI Met Life Glob	09/19/2012	2.000	01/09/2015	1,000,000.00	102.702	1,027,020.00	3,888.89	0.81
TOTAL (Corporate)				1,000,000.00		1,027,020.00	3,888.89	
GRAND TOTAL				3,000,000.00		3,023,870.00	3,888.89	

V = variable rate, current rate shown, average rate for Cash & Equivalents

City of Englewood
Securities Sold and Matured
September 1, 2012 - September 30, 2012

CUSIP/ Description	Sale or Maturity Date	Rate/ Coupon	Maturity/ Call Date	Par Value/ Shares	Historical Cost	Amortized Cost at Sale or Maturity / Accr/ (Amort)	Sale/ Maturity Price	Fair Value at Sale or Maturity / Chg. In Fair Value	Realized Gain (Loss)	Accrued Interest Sold	Interest Received	Interest Earned	Yield
<u>U.S. Instrumentality</u>													
3135G0CQ4 FNMA	09/06/2012	0.450	09/06/2013	1,000,000.00	999,100.00	999,491.49 6.97	100.00	1,000,000.00 (3.00)	508.51	0.00	2,250.00	62.50	0.50
3135G0CV3 FNMA	09/14/2012	1.050	09/14/2015	1,000,000.00	1,000,000.00	1,000,000.00 0.00	100.00	1,000,000.00 (198.00)	0.00	0.00	5,250.00	379.17	1.05
TOTAL (U.S. Instrumentality)				2,000,000.00	1,999,100.00	1,999,491.49 6.97		2,000,000.00 (201.00)	508.51	0.00	7,500.00	441.67	
<u>Corporate</u>													
59217EBZ6 Metropolitan Li	09/17/2012	2.875	09/17/2012	1,000,000.00	1,033,570.00	1,000,000.00 (714.26)	100.00	1,000,000.00 (672.00)	0.00	0.00	14,375.00	1,277.78	1.22
TOTAL (Corporate)				1,000,000.00	1,033,570.00	1,000,000.00 (714.26)		1,000,000.00 (672.00)	0.00	0.00	14,375.00	1,277.78	
GRAND TOTAL				3,000,000.00	3,032,670.00	2,999,491.49 (707.29)		3,000,000.00 (873.00)	508.51	0.00	21,875.00	1,719.45	

V = variable rate, current rate shown, average rate for Cash & Equivalents

**City of Englewood
Transaction Report
for the period September 1, 2012 - September 30, 2012**

Date	CUSIP	Transaction	Sec Type	Description	Maturity	PAR Value/Shares	Principal	Interest	Transaction Total	Balance
09/06/2012	3135G0CQ4	Interest	INS	FNMA	09/06/2013	1,000,000.00	0.00	2,250.00	2,250.00	2,250.00
09/06/2012	3135G0CQ4	Call	INS	FNMA	09/06/2013	1,000,000.00	999,491.49	0.00	1,000,000.00	1,002,250.00
09/07/2012	4497W0Q68	Bought	CP	ING Funding	03/06/2013	1,000,000.00	996,850.00	0.00	(996,850.00)	5,400.00
09/10/2012	313378WF4	Interest	INS	FHLB	03/10/2017	1,000,000.00	0.00	4,906.25	4,906.25	10,306.25
09/12/2012	313370JS8	Interest	INS	FHLB	09/12/2014	1,000,000.00	0.00	6,875.00	6,875.00	17,181.25
09/13/2012	3135G0NX7	Bought	INS	FNMA	03/13/2017	1,000,000.00	1,000,000.00	0.00	(1,000,000.00)	(982,818.75)
09/14/2012	3133XWKV0	Interest	INS	FHLB	03/14/2014	1,000,000.00	0.00	11,875.00	11,875.00	(970,943.75)
09/14/2012	3135G0CV3	Interest	INS	FNMA	09/14/2015	1,000,000.00	0.00	5,250.00	5,250.00	(965,693.75)
09/14/2012	3135G0CV3	Call	INS	FNMA	09/14/2015	1,000,000.00	1,000,000.00	0.00	1,000,000.00	34,306.25
09/17/2012	59217EBZ6	Maturity	COR	Metropolitan Life Gl	09/17/2012	1,000,000.00	1,000,000.00	0.00	1,000,000.00	1,034,306.25
09/17/2012	59217EBZ6	Interest	COR	Metropolitan Life Gl	09/17/2012	1,000,000.00	0.00	14,375.00	14,375.00	1,048,681.25
09/19/2012	59217GAM1	Bought	COR	Met Life Glob	01/09/2015	1,000,000.00	1,027,020.00	3,888.89	(1,030,908.89)	17,772.36
09/21/2012	3134G3SE1	Interest	INS	FHLMC	03/21/2016	1,000,000.00	0.00	5,000.00	5,000.00	22,772.36
Portfolio Activity Total									22,772.36	

Net Contributions:	0.00
Net Withdrawals:	19,744.62

Fees Charged:	3,027.74
Fees Paid:	3,027.74

**City of Englewood
Securities Bid and Offer
for the period 9/1/2012 - 9/30/2012**

Trans	Settle	Description	Call Date	Broker	Par Value	Discount	Price	YTM/YTC	Competitive Bids
BUY	09/07/2012	INGFDG 0 03/06/2013		BAS	1,000,000	0.630	99.685	0.63	DIR - GE CAPITAL CORP 03/06/13 @ YTM 0.29 DIR - TOYOTA MOTOR CREDIT 03/06/13 @YTM 0.30
BUY	09/13/2012	FNMA 1 03/13/2017	09/13/13	RBC	1,000,000		100.000	1.00/1.00	UBS - FHLMC 1.00% 09/17-09/13 @ YTM 1.00 MER - FHLMC 1.00% 03/08/17 @ YTM 0.73
BUY	09/19/2012	MET 2 01/09/2015		CIT	1,000,000		102.702	0.81	MOR - METLIFE 2.00% 01/09/15 @ YTM 0.80 UBS - TSY NOTE 0.25% 01/15/15 @ YTM 0.28

**City of Englewood
Upcoming Cash Activity
for the next 45 days**

Date	Transaction	CUSIP	Description	Coupon	Maturity Date	Next Call Date	Par / Shares	Principal	Interest	Transaction Total
10/10/2012	Maturity	87244EAC6	TIAA Global Markets	5.125	10/10/2012		1,500,000.00	1,500,000.00	38,437.50	1,538,437.50
10/10/2012	Interest	592179JGI	Met Life Global Funding	5.125	04/10/2013		500,000.00	0.00	12,812.50	12,812.50
10/15/2012	Interest	31398A4N9	FNMA	1.000	10/15/2013		1,000,000.00	0.00	5,000.00	5,000.00
10/15/2012	Potential Call	313371AE6	FHLB	0.000	10/15/2015	10/15/2012	1,000,000.00	1,000,000.00	5,000.00	1,005,000.00
10/25/2012	Interest	3136FTG60	FNMA	1.300	04/25/2017	01/25/2013	1,325,000.00	0.00	8,612.50	8,612.50
10/26/2012	Potential Call	3136FTFY0	FNMA	0.000	10/26/2016	10/26/2012	1,000,000.00	1,000,000.00	6,250.00	1,006,250.00
10/26/2012	Interest	3136G0CF6	FNMA	0.000	04/26/2017	04/26/2013	1,000,000.00	0.00	5,625.00	5,625.00
10/29/2012	Interest	313373JK9	FHLB	2.100	04/29/2015	04/29/2013	1,000,000.00	0.00	10,500.00	10,500.00
11/07/2012	Potential Call	3136FTHY8	FNMA	1.625	11/07/2016	11/07/2012	1,000,000.00	1,000,000.00	8,125.00	1,008,125.00
11/09/2012	Interest	64953BAP3	NEW YORK LIFE	4.650	05/09/2013		1,360,000.00	0.00	31,620.00	31,620.00

END OF REPORTS



New York Office
113 King Street
Armonk, NY 10504
Tel: 866 766 3030
Fax: 914 765 3030

Colorado Office
1900 Sixteenth Street, Suite 200
Denver, CO 80202
Tel: 303 860 1100
Fax: 303 860 0016

For any questions concerning this report please contact accounting either by phone or email to



Summary Statement

September 2012

City of Englewood

1000 Englewood Parkway
Englewood, CO 80110-2304
USA

COLOTRUST PLUS+

Average Monthly Yield: 0.26%

		Beginning Balance	Contributions	Withdrawals	Income Earned	Average Daily Balance	Month End Balance
CO-01-0074-8001	General - 8001	3,585,939.85	736,492.87	0.00	929.02	3,925,038.09	4,323,361.74
CO-01-0074-8005	2003 GOLF RESERVE	293,500.00	0.00	0.00	0.00	293,500.00	293,500.00
CO-01-0074-8006	2012 WATER BONDS	6,732,442.13	0.00	0.00	1,449.76	6,733,223.74	6,733,891.89
CO-01-0074-8007	2001 STORM RESERVE	102,500.00	0.00	0.00	0.00	102,500.00	102,500.00
CO-01-0074-8008	2009 WATER BONDS	0.00	0.00	0.00	0.00	0.00	0.00
Total		10,714,381.98	736,492.87	0.00	2,378.78	11,054,261.83	11,453,253.63

Email: i

Tel: (877) 311-0219 / (303) 864-7474

Fax: (877) 311-0220

www.colotrust.com



Memorandum

City Manager's Office

TO: Mayor Penn and Members of City Council
THROUGH: Michael Flaherty, Deputy City Manager ✓
FROM: Leigh Ann Hoffhines, Communications Coordinator
DATE: October 10, 2012
SUBJECT: 2013 Englewood Calendar Artist Recognitions

I invite you to help us honor the 2013 Englewood Calendar artists at the following events:

Top 50 Recognition – Wednesday, October 24 (5:30 to 6:30 pm): I hope you can join us for an artists' reception in honor of the Top 50 finalists in our Student Art Contest for the 2013 Englewood Calendar. MOA will coordinate the reception, which will take place Wednesday, October 24 from 5:30 to 6:30 pm in the 2nd floor atrium of the Civic Center. We will have a special presentation for the artists at 6:00 pm in the Community Room. The Top 50 Exhibit will be on display from October 24 through November 19, 2012.

Calendar Artists Recognition – Monday, November 19: I also wanted to let you know that during the City Council meeting on Monday, November 19, we will recognize the 15 artists whose work was chosen for the 2013 Calendar. We will return the framed artwork to the artists that evening and will have plenty of calendars available for people to take as keepsakes.

I appreciate your ongoing support of the annual calendar project. If you have any questions about the calendar or either of the artist recognition events, please contact me at 303-762-2316.

MEMORANDUM



TO: Gary Sears, City Manager

THROUGH: Rick Kahm, Director of Public Works ✓

FROM: David Henderson, Engineering/Capital Projects Administrator ✓

DATE: October 11, 2012

SUBJECT: PROJECT UPDATES – **Traffic Signal Upgrade - Dartmouth/Downing**, Pirates Cove Improvements, Centennial Park Trail Loop, West Harvard Gulch Trail Project, Kent Place, City Radio System, Street Maintenance Projects 2012, CityCenter Site Development, Englewood McLellan Reservoir Foundation, Air Quality/Energy Savings Projects, GPS in City Vehicles

TRAFFIC SIGNAL UPGRADE-DARTMOUTH/DOWNING

Upgrades to the traffic signal at the intersection of Dartmouth and Downing will begin the week of October 22. The project will replace the signal poles, traffic cabinet, UPS battery backup, and will include new LED signal heads. The project is anticipated to be complete by the end of November.

PIRATES COVE IMPROVEMENTS/REPAIRS

Public Works and Parks and Recreation staff will be coordinating repairs and improvements to Pirates Cove during the off-season. Concrete repairs will be performed around the first week of September. We are working with an engineering firm to evaluate options to repair the Concession Building. The building has settled a couple of inches and is experiencing problems with the doors and concrete block cracking. Monitoring wells were installed to determine soil and groundwater conditions under the building. **The geotechnical report has been delivered. Staff and our consultants are reviewing the data and report.**

CENTENNIAL PARK TRAIL LOOP PROJECT

Three new benches were set around the pond this week. **The project is complete.**

WEST HARVARD GULCH TRAIL PROJECT

The Urban Drainage and Flood Control District is managing a project to complete a trail connection from our Northwest Greenbelt (Harvard Gulch) to the Platte River Trail System. This project will construct a concrete trail, beginning at the end of our trail system east of Raritan St., and passing under the railroad tracks in Denver. This will complete the trail system connection to the Platte River.

Work is on hold while the engineers complete refinements to the retaining wall design.

KENT PLACE

Colorado Structures Inc., continued construction of the “Fresh Fare by King Soopers”. Work on Retail Pads One and Two continued. Construction of the bank continued.

The residential portion of the Kent Place development continued with excavation and exporting of soil. Colorado Structures (the same contractor performing retail construction) was awarded the contract. Staff has met with the contractor regarding access and working hours, and also to make sure they are aware of truck restrictions in the surrounding neighborhoods.

CITY RADIO SYSTEM-REPLACEMENT WITH PUSH TO TALK

The Servicer Garage continues to remove old radios from vehicles as they are being serviced.

STREET MAINTENANCE PROJECTS 2012

The Street Division is cutting and patching asphalt. Contract asphalt crack sealing is expected to resume next week.

CITYCENTER SITE DEVELOPMENT

Alexan East and West Parcels

438 residential units. Alexan's occupancy level for March, 2012 is 94.5%. Commercial uses: Let It Bead, State Farm, Lifetime Family Practice, Cuttin' It Loose, Jackson Hewitt Tax Service, Foothills Physical Therapy, Creative Perspectives, CityCenter Community, Solid Grounds, and Weingarten Realty.

Parkway Retail / Office Buildings

Commercial uses: The International House of Pancakes (IHOP), Qdoba, GNC, Supercuts, Nails Center, CityCenter Dental Group, Tableaux Interior Design, Englewood Eyecare, Collective Licensing Int'l, Inc., M.P. Hayutin, LLC, Quizno's, Air Walk, "Insurance Company of the West", Fred Astaire Dance Studio, Wellness Treatment Center, SB Clark Companies, Unifocus, and Bartertown Comics.

Collective Licensing is expanding and will occupy the old Miller space. **Tenant finish for Collective Licensing continued.**

Bally's Building

Tenants include Bally's, "Blondies Fire House Pub and Restaurant", and MaxFour.

Retail South of the Parkway

Tenants: Petco, Ross, Coldstone Creamery, Noodles, Sports Authority, and Office Depot.

Gold Mine Pad

Tenants include: Jamba Juice, Tokyo Joe's, Mega Wraps, and Doctors Express.

CityCenter Site

EEFI continues project management of all infrastructure on the site. Staff is monitoring the security, sweeping, snow removal, and day porter services performed under the Common Area Maintenance.

Restriping of parking lots is complete.

Handrail painting and stucco repair to planters along Englewood Parkway is complete.

The CityCenter fountain has been turned pink in support of Breast Cancer awareness month. The Chamber of Commerce placed ribbons on trees around the site. **The fountain will be turned off for the season on Saturday, October 13th. Decking will be installed over the fountain. The Chamber of Commerce will remove the pink ribbons this weekend.**

Bradley Station Environmental

EEFI's environmental consultants prepared an Executive Summary Report (dated July 28, 2008). Updates will be provided as additional information is received.

ENGLEWOOD MCLELLAN RESERVOIR FOUNDATION (EMRF)

PA-84 West

The Mike Ward Infiniti dealership opened on Monday, August 29, 2011.

The EMRF lease with the Larry Miller Nissan Dealership was signed on February 7th.

PA-85 (RTD site)

RTD paid the final billing in the amount of \$591,836.86. Finance has deposited this money in the LTAR fund.

PA-85 (Benjamin Franklin Charter School)

The Benjamin Franklin Charter School has received their Certificate of Occupancy.

AIR QUALITY/ENERGY SAVING PROJECTS

Flex Fuel Program

Currently, 39 of our fleet vehicles are running on E-85 ethanol fuel. All new replacement vehicles (less than ¾ ton) will be compatible with E-85. Approximately 50 Flex Fuel vehicles will be in our fleet within two years. Currently, the cost of E-85 fuel is approximately \$0.40 per gallon less than regular unleaded gasoline. Ten of our fleet vehicles run on Compressed Natural Gas (CNG). 39 on-road vehicles and 23 off road (construction equipment) run on biodiesel fuel.

Hybrid Vehicle Purchase

Two hybrid vehicles are in service, one in the Fire Department and one in the Building Division.

"Green" Programs

We continue to work with our vendors to expand the Green product line as it becomes available. 90% of our custodial paper supplies are from recycled sources. The Servicenter is now "single-streaming" waste at the Servicenter and a dumpster has been supplied for *cardboard only* recycling.

Energy Saving Projects

In 2001, the Public Works Traffic Division began an ongoing program to convert traffic signals from incandescent lamps to Light-Emitting-Diode (LED) bulbs. To date, 80% of our City maintained signals have been converted. LED traffic signals use 90% less energy than incandescent lamps, last 5 times longer, and provide better visibility.

GPS IN VEHICLES

The City received a grant, through RAQC (Regional Air Quality), to install 37 GPS units in some of our fleet vehicles. Our match to the project is the installation. The Servicenter Garage will install with in-house labor. The GPS units will be installed in Police patrol vehicles, Public Works vehicles, Fire Department equipment, and some Wastewater Treatment Plant vehicles. **GPS equipment installation is substantially complete. Staff is in the process of refining software for tracking vehicles.** The system will allow us to track locations, easily obtain information regarding quantities of ice slicer dispensed, monitor idle times, and allow the public to track where plow trucks have been.

/lt

Englewood Municipal Court 3rd Quarter Statistics

	3rd Qtr 2011	3rd Qtr 2012	Difference	% Change	YTD 2011	YTD 2012	Difference	% Change
FILINGS								
Criminal	576	634	58	10%	1658	1730	72	4%
(Domestic Viol)*	(48)	(45)	-3	-6%	(117)	(114)	-3	-3%
Traffic Infractions	2145	2310	165	8%	6080	6545	465	8%
Traffic Offenses	439	387	-52	-12%	1131	1315	184	16%
Parking	1664	1432	-232	-14%	4759	4705	-54	-1%
TOTAL	4824	4763	-61	-1%	13628	14295	667	5%
HEARINGS								
Video Arrn's	131	138	7	5%	375	398	23	6%
Juv Arrn's	142	80	-62	-44%	346	306	-40	-12%
Other Arrn's	652	722	70	11%	1927	2049	122	6%
Court Pre Trials	738	643	-95	-13%	2400	2274	-126	-5%
Jury Pre Trials	42	36	-6	-14%	150	127	-23	-15%
Court Trials Set	23	27	4	17%	54	77	23	43%
Jury Trials Set	7	5	-2	-29%	13	10	-3	-23%
Probation	70	58	-12	-17%	197	169	-28	-14%
Deferred Pros	40	26	-14	-35%	144	95	-49	-34%
Def Judgment	118	142	24	20%	446	449	3	1%
Conditional Stay	225	213	-12	-5%	718	627	-91	-13%
Stays	257	269	12	5%	751	924	173	23%
All Other Hearings	442	460	18	4%	1416	1257	-159	-11%
TOTAL	2887	2819	-68	-2%	8937	8762	-175	-2%
WARRANTS / DEFAULTS								
Bench	219	254	35	16%	602	736	134	22%
Execution	71	66	-5	-7%	231	230	-1	0%
Default Judgments	306	402	96	31%	841	998	157	19%
TOTAL	596	722	126	21%	1674	1964	290	17%
REVENUES								
VBLC	\$1,100.00	\$1,120.00	\$20.00	2%	\$3,515.00	\$4,205.00	\$690.00	20%
Ct. Fines	\$66,300.65	\$61,189.00	-\$5,111.65	-8%	\$213,349.65	\$204,972.33	-\$8,377.32	-4%
Parking	\$29,305.00	\$25,970.00	-\$3,335.00	-11%	\$84,309.00	\$83,420.00	-\$889.00	-1%
VB Fines	\$210,311.00	\$235,523.00	\$25,212.00	12%	\$635,545.00	\$717,796.00	\$82,251.00	13%
Costs	\$27,676.71	\$26,140.50	-\$1,536.21	-6%	\$87,737.71	\$84,213.88	-\$3,523.83	-4%
Prob, DJH, DP	\$10,751.00	\$8,825.00	-\$1,926.00	-18%	\$36,171.00	\$30,099.00	-\$6,072.00	-17%
Witness	\$0.00	\$0.00	\$0.00	0%	\$0.00	\$0.00	\$0.00	0%
Bond Forfeitures	\$345.00	\$0.00	-\$345.00	-100%	\$3,105.00	\$1,115.00	-\$1,990.00	-64%
OJWC	\$3,960.00	\$4,605.00	\$645.00	16%	\$13,335.00	\$14,625.00	\$1,290.00	10%
Jury	\$0.00	\$0.00	\$0.00	0%	\$0.00	\$0.00	\$0.00	0%
Success	\$240.00	\$0.00	-\$240.00	-100%	\$790.00	\$520.00	-\$270.00	-34%
Community Service	\$80.00	\$0.00	-\$80.00	-100%	\$115.00	\$0.00	-\$115.00	-100%
DUI's	\$9,234.53	\$8,751.57	-\$482.96	-5%	\$21,647.24	\$28,278.56	\$6,631.32	31%
TOTAL	\$359,303.89	\$372,124.07	\$12,820.18	4%	\$1,099,619.60	\$1,169,244.77	\$69,625.17	6%

**CITY OF ENGLEWOOD
2012 CALENDAR OF EVENTS**



Mon., Oct. 15	6:00 p.m.	Executive Session, City Council Conference Room
	6:30 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues. Oct. 16	7:00 p.m.	Planning and Zoning Commission, City Council Conference Room
Wed., Oct. 17	Cancelled	Local Liquor and Medical Marijuana Licensing Authority, Telephone Poll
Mon., Oct. 22	Cancelled	Study Session
Tues., Oct. 23		Joint Englewood/Littleton Council Mtg, Littleton
Mon. Oct. 29	6:00 p.m.	Executive Session, City Council Conference Room
	7:00p.m.	Study Session, Community Room
Mon., Nov. 5	6:00 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues. Nov. 6	7:00 p.m.	Planning and Zoning Commission, City Council Chambers/City Council Conference Room
Wed., Nov. 7	4:00 p.m.	Englewood Housing Authority Board Meeting, Housing Authority Board Room
	5:45 p.m.	Cultural Arts Commission, City Council Conference Room
	7:00 p.m.	Local Liquor and Medical Marijuana Licensing Authority, City Council Chambers
Thurs. Nov. 8	11:30 a.m.	Alliance for Commerce in Englewood Committee, City Council Conference Room
	5:30 p.m.	Parks and Recreation Commission, Broken Tee Golf Course
	6:30 p.m.	Transportation Advisory Committee, City Council Conference Room
Mon., Nov. 12		City Hall closed — Veterans' Day (Observed)

Tues., Nov. 13	5:00 p.m.	Water and Sewer Board, Comm. Dev. Conference Room
	6:30 p.m.	Keep Englewood Beautiful Meeting, City Council Conference Room
	7:00 p.m.	Library Board, Library Board Room
Wed. Nov 14	6:30 p.m.	Urban Renewal Authority, Community Development Conference Room
	7:00 p.m.	Board of Adjustment and Appeals, Council Chambers
Mon., Nov. 19	6:00 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues. Nov. 20	7:00 p.m.	Planning and Zoning Commission, City Council Chambers/City Council Conference Room
Wed., Nov. 21	6:30 p.m.	Code Enforcement Advisory Board, City Council Conf. Room
	Cancelled	Local Liquor and Medical Marijuana Licensing Authority, Telephone Poll
Thurs., Nov. 22		City Hall closed — Thanksgiving Day
Fri., Nov. 23		City Hall closed — Thanksgiving Holiday
Mon., Nov. 26	6:00 p.m.	Study Session, Community Room
Mon., Dec. 3	6:00 p.m.	Study Session, Community Room
	7:30 p.m.	Council Meeting, Council Chambers
Tues. Dec. 4	7:00 p.m.	Planning and Zoning Commission, City Council Chambers/City Council Conference Room
Wed., Dec. 5	4:00 p.m.	Englewood Housing Authority Board Meeting, Housing Authority Board Room
	5:45 p.m.	Cultural Arts Commission, City Council Conference Room
	7:00 p.m.	Local Liquor and Medical Marijuana Licensing Authority, City Council Chambers
Mon., Dec. 10	6:00 p.m.	Study Session, Community Room
Tues. Dec. 11	Canceled	Water and Sewer Board, Community Development Conference Room
	6:30 p.m.	Keep Englewood Beautiful Meeting, Council Conference Room

TENTATIVE

**STUDY SESSIONS TOPICS
FOR ENGLEWOOD CITY COUNCIL**



October 22	Study Session Meeting Cancelled
October 23	Joint Meeting with Littleton City Council in Littleton – Tuesday Nutrients Humane Society of South Platte Valley
October 29	Study Session Executive Session –Negotiations (Xcel) Station Area Master Plan Update Board and Commission Reports
November 5	Study Session & Regular Meeting Security Cameras Update Public Works Permit Fees – Proposed Increase Concrete Utility Fee – Proposed Increase
November 12	Veteran’s Day Holiday – no meeting scheduled
November 19	Study Session & Regular Meeting Financial Report Broken Tee Golf Course Restaurant Concessionaire Aid to Other Agencies Discussion
November 26	Study Session Holiday Tree Lighting @ 5:30 p.m. in Piazza Board/Commission Reappointment Discussion – tentative Board and Commission Reports
December 3	Study Session & Regular Meeting
December 10	Study Session Financial Report Board and Commission Reports

December 17	Study Session & Regular Meeting Holiday Dinner – No Study Session Scheduled
December 24	No meeting scheduled
December 31	No meeting scheduled
January 7	Study Session & Regular Meeting Board and Commission Appreciation event discussion Mill Levy
January 14	Study Session Board and Commission Interviews
January 22	Study Session & Regular Meeting – Tuesday Financial Report
January 28	Study Session Citizen of the Year Selection Board and Commission Reports
February 4	Study Session & Regular Meeting RTD Representatives

FUTURE STUDY SESSION TOPICS

Citizens Budget Committee
 Comprehensive Plan Update (October)
 Neighborhood Preservation
 Water Conservation Plan (October)
 Golf Course Restaurant Contract
 Citizen Engagement/Mindmixer
 City of Englewood/Xcel Energy Residential and Small
 Business Energy Efficiency Outreach
 Mill Levy (January/February)
 Motels Report
 Public Comment Process
 Alliance for Commerce in Englewood Committee/City Council
 Englewood Academy

ENGLEWOOD URBAN RENEWAL AUTHORITY
April 11, 2012

I. CALL TO ORDER



The regular meeting of the Englewood Urban Renewal Authority was called to order at 6:34 p.m. in the Community Development Conference Room of the Englewood Civic Center, Chair Rogers presiding.

Present: Roth, Burns, Rogers, Buchanan, Townley
Cohn (alternate) (entered 7:22)

Absent: Weddle, Costello

Also Present: Jim Woodward, Council Liaison

Staff: Alan White, Community Development Director

II. PUBLIC FORUM



There was no one present to address the Authority.

III. INTRODUCTION OF NEW MEMBER



Ms. Linda Cohn, the new alternate, was not present at this time.

Director White introduced Darren Hollingsworth, Economic Development Coordinator for the City of Englewood.

IV. APPROVAL OF MINUTES

- January 11, 2012



Chair Rogers stated that the Minutes of January 11, 2012 were to be considered.

Burns moved:

Roth seconded: THE MINUTES OF JANUARY 11, 2012 BE APPROVED.

AYES: Roth, Burns, Rogers, Buchanan, Townley

NAYS: None

ABSTAIN: None

ABSENT: Weddle, Costello

The motion carried.

V. URBAN RENEWAL AREAS



Director White stated economic conditions have changed over the past two years and asked the members of the Authority if current times might warrant considering a new look at the current urban renewal areas and possibly future areas.

3400 Block South Acoma:

Director White asked the members if they thought the timing was right to go out for a Request for Proposal for this property. He noted there has been interest lately in redeveloping several other parcels in the City. The members did indeed feel the timing is right to begin discussing this area again. The next step is for Staff to get in front of City Council and ask for their thoughts on redeveloping this area, and together with EURA, reach a consensus of what their objectives and goals for the development of that property are. Mayor Pro Tem Woodward will update Council at the Study Session on April 23rd and work on the agenda for the Mayor Manager meetings to get it scheduled.

North Englewood Industrial Area:

The members felt this area should be looked at in a year, not at the present.

Other Areas to Consider:

There was general discussion among the members about other areas to consider. Establishing new urban renewal areas would require the preparation and adoption of blight studies and urban renewal plans. Ideas discussed were:

- Oxford Station area
- Between Platte River Drive and Santa Fe south of Dartmouth
- Belleview and Broadway area (west side)
- Depot and the land it sits on
- Old Bally's site

The next step would be to go before City Council and see if they want to follow-up on any of the areas discussed.



Ms. Cohn entered the meeting at 7:22. Introductions were made at this time.

There was consensus among members that any additional urban renewal areas should be considered in response to developer requests.

VI. DIRECTOR'S CHOICE



Director White had nothing further to report.

VII. MEMBER'S CHOICE



The members had nothing further to report.

There was no further business to come before the Authority; the meeting was declared adjourned at 7:52 p.m.

Barbara Krecklow, Recording Secretary

**ENGLEWOOD HOUSING AUTHORITY
BOARD OF COMMISSIONERS
Regular Meeting
September 5, 2012**

I. CALL TO ORDER

The Regular Meeting of the Englewood Housing Authority Board of Commissioners was called to order at 4:00 p.m. on Wednesday, September 5, 2012 at 3460 South Sherman Street, Suite 203, Englewood, Colorado, 80113, by Chair Beverly Bradshaw.

II. ROLL CALL

Members Present: Beverly Bradshaw, Chair
Jennifer Haynes, Vice Chair
Jarrod Schleiger, Commissioner
Margaret Williams, Commissioner
Judy Browne, Commissioner
James Woodward, Mayor Pro Tem & Council Liaison

Members Absent: None

Also Present: Renee Tullius, Executive Director
Jerry Graber, Administrative Manager
Geula Sherman, Financial Services Manager
Delicia Olguin, Section 8 Administrator
Marty Mosman, FSS Coordinator

III. SCHEDULED VISITORS

- A. Delicia Olguin, Section 8 Administrator, provided an overview of EHA's Section 8 Housing Choice Voucher (HCV) Homeownership Program. She noted:
- The 1st first HCV homeowner closing occurred mid-year 2007.
 - There are 9 original homeowners under this program - 2 no longer receive assistance, their income has increased to the level that they pay the entire mortgage, 7 still receive assistance with their mortgage from Section 8 HCV Program.
 - There have been no new participants in the Section 8 HCV Homeownership program since 6/2010.
 - The maximum term of assistance in the Section 8 HCV Homeownership Program is 15 years (with an exception for elderly/disabled, their maximum term would be the term of the mortgage).
 - Projected costs would be similar to assistance for HCV rental vouchers. The payment would go to the landlord to pay their mortgage vs. paying the homeowner's mortgage.
 - The cumulative cost of assistance to homeowners, to date since 2007, is \$199,522.

- The projected cost for the remaining term of the current Homeownership participants is \$606,119.
- The average monthly assistance for homeownership participants is \$633.
- HUD originally paid \$5,000 as incentive per closing to EHA.
- HUD reduced the incentive to \$500 per closing.

B. Marty Mosman, FSS Coordinator, provided an overview of the Family Self Sufficiency (FSS) program. Marty explained:

- Families who receive assistance under the Section 8 HCV program are eligible to participate in the FSS program.
- EHA provides training, counseling, mentorship, and referrals to other service providers.
- 42 homeowners came out of the EHA FSS Program, 9 of those were in the Section 8 HCV Homeownership Program, and 33 became homeowners without the Section 8 HCV Homeownership Program.

EHA recommends closing the Section 8 HCV Homeownership Program to new participants at this time. The program appears to foster dependency vs. self-sufficiency. It is an administrative burden. HUD's reduced incentive no longer covers EHA's costs to administer the program. The HCV Homeownership Program reduces Marty's effectiveness in administering the Family Self Sufficiency Program.

Included in the budget revision resolution we have included money for a consultant that has offered a discounted price to write a new Section 8 Administrative Plan, which would be submitted for Board Approval. The goal is to ensure regulatory compliance. The current Admin Plan was written in 1999 or 2000. It has been revised many times to comply with regulatory changes and changes in Fair Housing requirements. The new Admin Plan would also revise the section setting out EHA's administration of the Homeownership Program for current participants and closing it to new participants.

MORATORIUM CLOSING THE SECTION 8 HCV HOMEOWNERSHIP PROGRAM TO NEW PARTICIPANTS

COMMISSIONER BROWNE MADE A MOTION FOR A MORATORIUM CLOSING THE SECTION 8 HCV HOMEOWNERSHIP PROGRAM TO NEW PARTICIPANTS UNTIL A NEW SECTION 8 ADMINISTRATIVE PLAN IS APPROVED. COMMISSIONER WILLIAMS SECONDED THE MOTION.

Ayes:	Bradshaw, Haynes, Schleiger, Williams, Browne
Nays:	None
Abstained:	None
Absent:	None

The Chair declared the motion carried.

IV. UNSCHEDULED VISITORS

There were no unscheduled visitors.

V. MINUTES

A. Regular Meeting held August 1, 2012.

James Woodward noted a few corrections that should be made to the August 1st meeting minutes – in the Director’s Report, narrative section, where the minutes say “tempered safety glass” – should be “bullet proof glass”. The minutes indicate that he saw this type of glass installed in insurance claims offices, movie theaters, and numerous other offices around town – should say “insurance claims offices, and credit bureau type offices like Trans-Union or Experian” he did not recall mentioning movie theaters.

Renee Tullius explained that the glass company EHA has been working informed us that most glass installed in various offices is not bullet-proof. It is usually some type of tempered safety glass. Bullet resistant glass is really thick and really expensive. The approximate cost for tempered safety glass in EHA’s office is \$2,000.

Vice Chair Haynes mentioned that the minutes in the General Discussion – Canterbury Partnership section should have the item “ 2) does not require ownership in one of the buildings” removed, because she does not believe that the Board agreed that EHA does not require ownership of one of the buildings.

VICE CHAIR HAYNES MOVED TO ACCEPT AND APPROVE THE MINUTES (AS CORRECTED) OF THE REGULAR MEETING OF THE ENGLEWOOD HOUSING AUTHORITY BOARD OF COMMISSIONERS HELD AUGUST 1, 2012. COMMISSIONER SCHLEIGER SECONDED THE MOTION.

Ayes:	Bradshaw, Haynes, Schleiger, Williams, Browne
Nays:	None
Abstained:	None
Absent:	None

The Chair declared the motion carried.

VI. DIRECTOR’S REPORT

A. Narrative

The Board discussed the REAC requirement that tenant owned appliances be in working order, or be counted as an EHA deficiency in a REAC inspection. Renee Tullius commented that when those regulations were proposed, national housing organizations did protest but the regulations did pass in early August. EHA will not repair tenant owned equipment or appliances, but would exercise the option to

remove inoperable equipment/appliances. EHA will revise the lease or house rules to limit liability if EHA needs to remove defective equipment that belonging to a tenant. Legal counsel will be consulted.

Vice Chair Haynes asked when are the REAC Inspections? The Executive Director mentioned that EHA had one earlier this year at Orchard Place; EHA has had 2 REAC Inspections within a 12 month period. Because EHA is a high performer, we do not expect Orchard Place to be rescheduled for several years.

Regarding the ongoing analysis of electrical usage at Orchard Place, Commissioner Williams inquired into the impact of residents with two air conditioners, one of which is installed improperly in a patio door - blocking an exit. Director Tullius noted that these residents do use a disproportionate amount of electricity and is one reason EHA is considering various methodologies to fairly calculate an excess utility surcharge. The current excess utility charge of \$7.00 per month for an air conditioner is not adequate to cover the cost.

Chair Bradshaw asked for clarification regarding Section 3 Contractors. Renee Tullius explained that Section 3 Contractors' labor force is comprised of over 50% low income employees. EHA does have some contractors that are Section 3, for instance our janitorial company.

Commissioner Schleiger asked for an update from the light rail planning meeting. There was not much of a takeaway for EHA; it appeared to be more of a meeting for them to gather information versus providing information. Jim Woodward said that the Bates Street Station would cost around \$22 million with the source of funds coming from RTD, City of Englewood, and a developer of the old General Iron Works site each responsible for paying 1/3. It is doubtful that any of the three would desire to pay \$7.3 million.

B. Financial Reports

There were no questions about the Financial Reports.

C. Program Reports

Chair Bradshaw asked for clarification about the Douglas County lease up report. It was explained that Douglas County Vouchers are a part of the Colorado Division of Housing's statewide program. Though the Vouchers allotted for Douglas County are underutilized, the Colorado Division of Housing utilization rate is good overall.

VII. DISCUSSION ITEMS, MOTIONS AND RESOLUTIONS

A. Resolution No. 12, Approval of Amendments to the 2012 Budget.

Commissioner Bradshaw noted that the landscaping at the Terraces is unkempt and weedy. Renee Tullius will contact the manager.

B. Director's Choice

Renee Tullius gave an update on Canterbury Apartments. CHDA has hired an architect; a number of current residents will be relocated but will not be returning – a sexual predator, some residents with ankle bracelets, and a number of felons who will not be allowed to return to the rehabilitated properties. Therefore, the relocation budget has doubled. CHDA has indicated that they will be requesting EHA participation in the Canterbury properties to facilitate property tax exemption.

C. Council Communication

Mayor Pro-Tem Woodward reported that city staff is working on the 2013 budget. A lot of development is going on – Martin Plastics, Kent Place, King Soopers at Federal and Belleview. He also mentioned that the 2004 UDC (unified development code) indicated a fee in lieu of open space for developments. A group of people in North Denver have interpreted that to mean that land is worth \$20,000 an acre, and that the city will start taking land from parks and playgrounds.

Jim Woodward asked how the EHA Grant Street project is and how we were moving forward with that. Renee Tullius explained that staff is researching plans.

IX. ADJOURNMENT

**VICE CHAIR HAYNES MOVED THAT THE MEETING BE ADJOURNED.
COMMISSIONER BROWNE SECONDED THE MOTION.**

Ayes:	Bradshaw, Haynes, Schleiger, Williams, Browne
Nays:	None
Abstained:	None
Absent:	None

Chair Bradshaw declared the meeting adjourned at 5:50 pm.

Beverly J Bradshaw, Chair
Englewood Housing Authority
Board of Commissioners

Renee Tullius, Executive Director
Englewood Housing Authority
Board of Commissioners



City of Englewood

ENGLEWOOD LIQUOR AND MEDICAL MARIJUANA LICENSING AUTHORITY
Regular Meeting
September 5, 2012

1. Call to Order and Roll Call

The meeting was called to order at 7:00 p.m. by Chairperson VanDerLeest.

Roll Call

Present: Michael Buchanan, Diane Ostmeier, Robyn VanDerLeest, Steven Ward, Carlyne Wilmoth
Absent: None
Also Present: Assistant City Attorney Comer
Deputy Clerk Bush
City Clerk Ellis
Mayor Randy Penn

2. Consideration of Minutes

a) Results of the minutes of the regular meeting of August 15, 2012.

Motion: To approve the minutes from the regular meeting of August 15, 2012.

Moved by: Ostmeier Seconded by: Wilmoth

Vote results:

Ayes: Members Buchanan, Ostmeier, VanDerLeest, Ward, Wilmoth
Nays: None

Motion approved.

3. Renewals

- | | |
|---|---|
| a) The Aussie Pub
4386 S. Broadway | Tavern Liquor License
Expires November 6, 2012 |
| b) Taste of Thailand
504 E. Hampden Ave. | Beer/Wine Liquor License
Expires October 3, 2012 |
| c) Twin Dragon
3021 S. Broadway | Hotel/Restaurant Liquor License
Expires September 28, 2012 |

Motion: To approve the renewals for Agenda Items a-c.

Moved by: Wilmoth Seconded by: Ostmeier

Vote results:

Ayes: Members Buchanan, Ostmeier, VanDerLeest, Ward, Wilmoth
Nays: None

Motion approved.

4. Change of Manager

- a) Noodles and Company
697 W. Hampden Ave.
Changing from Sarah Thomas to Misty Shealy

Motion: To approve the Change of Manager for Noodles and Company.

Moved by: Buchanan Seconded by: Ward

Vote results:

Ayes: Members Buchanan, Ostmeyer, VanDerLeest, Ward, Wilmoth
Nays: None

Motion approved.

5. Special Event Permit

- a) Mending Faces
3990 S. Kalamath St.
November 16, 2012 from 4:30 p.m. – 10:30 p.m.

Motion: To approve the Special Event Permit for Mending Faces.

Moved by: Buchanan Seconded by: Ostmeyer

Vote results:

Ayes: Members Buchanan, Ostmeyer, VanDerLeest, Ward, Wilmoth
Nays: None

The special event permit was temporarily approved for Mending Faces, pending the ten (10) day posting of the property and with the public hearing date of September 19, 2012. The hearing will be vacated if no written protests to the issuance of the permit are received by the City Clerk, during the ten-day posting. If no protests are received, the permit will be approved with the September 19, 2012 date. Neighborhood boundaries are set at: Girard Avenue to the north, Belleview to the south, Broadway to the east and Zuni Street to the west.

6. New Application

- a) King Soopers #111
3495 S. University Blvd.
3.2% off-premises liquor license

Motion: To accept the New Application and to set a Public Hearing date of November 7, 2012.

Moved by: Ostmeyer Seconded by: Wilmoth

Vote results:

Ayes: Members Buchanan, Ostmeyer, VanDerLeest, Ward, Wilmoth
Nays: None

Motion approved.

The application was accepted and the date for the public hearing will be on November 7, 2012 at 7:00 p.m. in City Council Chambers. The boundaries were set at: Yale Avenue on the north, Quincy Avenue on the south, Adams Street on the east and Marion Street on the west.

7. Public Hearing for a New Application

- a) Massey Liquor & Wine Inc. dba Massey Discount Liquor and Wine
4692 S. Federal Blvd.
Retail Liquor Store Liquor License

Motion: To open the public hearing for the Retail Liquor Store Liquor License for Massey Liquor & Wine Inc. dba Massey Discount Liquor and Wine, 4692 S. Federal Blvd, Englewood, Colorado 80110.

Moved by: Wilmoth Seconded by: Ostmeyer

Vote results:

Ayes: Members Buchanan, Ostmeyer, VanDerLeest, Ward, Wilmoth

Nays: None

Motion approved.

Roll Call

Present: Michael Buchanan, Diane Ostmeyer, Robyn VanDerLeest, Steven Ward, Carolyne Wilmoth

Absent: None

Chairperson VanDerLeest said the Liquor Authority has received an application for a Retail Liquor Store Liquor License for Massey Liquor & Wine Inc. dba Massey Discount Liquor and Wine, 4692 S. Federal Blvd., Englewood, Colorado 80110. I need to let you know that this is an administrative hearing so the strict rules of evidence as they apply in courts of law are not applied here. This hearing will proceed by the applicants, presenting whatever evidence they choose and when they are finished, those protesting or the protestors' attorneys may, but are not obligated to, present anything they desire. Anybody giving testimony will be sworn in by the Deputy City Clerk. She will have you spell your name and state your address for the record. Witnesses may be cross-examined. I will give a short period of time to the other side, to give testimony. If the Authority chooses, they can retire to an executive session, after which a decision will be made in the open session and we'll go forth from there.

Deputy City Clerk Bush listed the exhibits and petitions. She explained that before the Authority is a new application and a new Individual History Record as information was omitted from the original applications when first submitted. Chairperson VanDerLeest questioned where did we get this information? Deputy Clerk Bush replied from Mr. Massey and Mr. Beimford.

Attorney James Beimford, Supreme Court #13142, and Owner G. Douglas Massey were present.

Carol Johnson, representing Esquire Petitioning Services, was present and sworn in. She presented the petition findings, with a math mistake correction in a total. Ms. Johnson said this mistake did not affect the results. She also supplied a brief explanation as to how they gathered the signatures. Member Buchanan questioned the signature gathering procedures as to how well the people signing the petitions were aware of what they were signing these petitions for. He wasn't sure that people understand the needs and desires criteria requirement. Ms. Johnson explained that there is a detailed paragraph on the top of the petition and they allow time for the people to read it and they would answer questions, if any. Mr. Buchanan appreciated the detail for the reasons presented for signing in favor of or in opposition to this application.

Mr. Massey was sworn in. He testified to his prior history of owning this liquor store as well as owning the Mini-Mart and car wash next door. Mr. Massey owned this complex from 1993 until 2007. He hoped to complete transfers of ownership but they couldn't be worked out prior to the liquor licenses expiring. He also owned a store in Lakewood, which had a liquor violation for selling to an underage person. Neither of the two stores on Federal ever had a liquor violation. He plans to have his staff attend liquor training before opening the liquor store. The liquor store will be open Monday through Saturday from 9:00 a.m. until 11:00 p.m. On Sundays, it will be open from 10:00 a.m. until 10:00 p.m. This way, the clerks from the liquor store and the Mini-Mart can walk out together, for safety purposes.

Chairperson VanDerLeest said you need to tell me why we have two applications. Mr. Beimford replied that there was some information omitted in error from the first set and we wanted to make sure you received an amended version. Chairperson VanDerLeest said I'm curious if you caught it based on your experience from the last time you appeared before us. What checkpoints have you put into place to avoid this happening a third time? Mr. Beimford replied it slipped the first time and I take full responsibility. Chairperson VanDerLeest asked who caught it, you or him. Mr. Beimford said we both did. We doubled checked and it came up in a conversation so we amended the forms. It was chaos when we first wanted to file the forms due to the expiring of the other licenses. We hoped to have transfers of ownership for both locations but it didn't work out for us. It was a fire drill when we first started.

Member Buchanan said there used to be a 3.2% liquor license at the convenience store but that has now expired? Mr. Massey said that is correct and I decided not to apply for that license since the liquor store can be open on Sundays now. Before, it was convenient to purchase beer at the convenience store on Sundays due to liquor stores not being open on Sundays. That has changed and it would not be cost effective to pay all of the fees to get a license for that location.

Chairperson VanDerLeest said you stated that your son was a former manager. Will he be the manager now? Mr. Massey replied not yet. He is helping me at the convenience store. Maybe in a couple of months, we will think about that. I don't have anything else to work on right now so I will be there.

Chairperson VanDerLeest asked have you made any provisions to tell if people are twenty-one (21) years of age. Mr. Massey said I have a sign that says that if you are under twenty-one (21), please go next door to the convenience store. We are not going to carry much pop in the liquor store either so they should go to the convenience store as it is cheaper there too. I have asked the city police to come and check on my store and I have also previously hired people to do compliance checks. I have children and grandchildren and I don't want anyone to sell to my family and I don't want to sell to their children and grandchildren.

Member Buchanan asked if the stores were connected. Mr. Massey said yes, they are. There is a wall between them. Member Buchanan asked to get into the convenience store though they have to go outside from the liquor store and then go into the convenience store. Mr. Massey said correct. There is no adjoining door between the two stores.

Member Ostmeyer asked how many entrances and exits are in the liquor store. Mr. Massey said there is one front door entrance and there is one emergency back door exit, which is alarmed. Deliveries will be made through the front door.

Chairperson VanDerLeest said I believe that you have people in support, who have signed up to speak, in the audience. Mr. Beimford said they are here for moral support and not to speak unless necessary. Chairperson VanDerLeest asked the two people in the audience, who had signed up to speak, if they wanted to speak. Both declined. They replied that they were just here for support.

Chairperson VanDerLeest asked Mr. Beimford, do you have a brief statement to make. Mr. Beimford said this is just the reopening of a successful store and the petitions have proven the need.

No one was present to speak in opposition of the application.

Chairperson VanDerLeest said I believe all of the testimony has been presented. So pursuant to the provisions of Section 24-6-402, Subsection 4, Paragraph b, of the Colorado Revised Statutes,

I will entertain a motion to retire to executive session to receive any legal advice pertaining to the matters or I can entertain a motion to approve or deny this application.

Motion: To approve the Retail Liquor Store Liquor License for Massey Liquor & Wine Inc. dba Massey Discount Liquor and Wine, 4692 S. Federal Blvd, Englewood, Colorado 80110.

Moved by: Ward **Seconded by:** Ostmeyer

Vote results:

Ayes: Members Buchanan, Ostmeyer, VanDerLeest, Ward, Wilmoth

Nays: None

Motion approved.

A motion has been made and voted upon. Each member will state the reason for voting as they did. After that, a motion will be made to close the public hearing and no questions or comments will be taken after that time.

Member Ward said there is no one here is opposition and the petitions speak clearly. There is no reason not to grant this.

Member Buchanan said you've met the burdens and shown the need with the petitions. I wish you good luck.

Chairperson VanDerLeest said you've met the burden of needs and desires. You had some good answers and I liked what you had to say. I feel like you will do well there. Good luck to you.

Member Ostmeyer said I believe that you have met your needs and desires. More than that, I'm impressed that you have been a good asset to the City of Englewood for many years and I appreciate that. Good luck.

Member Wilmoth said you have met the needs and desires. It sounds like you had a successful business in the 1990's and I hope it continues into this century.

Mr. Massey and Mr. Beimford said thank you.

Motion: To close the public hearing for the Retail Liquor Store Liquor License for Massey Liquor & Wine Inc. dba Massey Discount Liquor and Wine, 4692 S. Federal Blvd, Englewood, Colorado 80110.

Moved by: Buchanan **Seconded by:** Wilmoth

Vote results:

Ayes: Members Buchanan, Ostmeyer, VanDerLeest, Ward, Wilmoth

Nays: None

Motion approved.

8. Citizen's Forum

Mayor Penn was the only person remaining in the audience and he chose not to speak.

9. Authority Members' Choice

The Authority did not have anything to present.

10. Council's Choice

a) Letter to ADG Herbal Medicine and the possibility of setting a public hearing for a New Application or a Transfer/Change of Ownership for November 7, 2012.

Assistant City Attorney Comer presented the Authority a copy of a letter sent to Mr. Adam Foster, an attorney representing ADG Herbal Medicine. Mr. Comer said I don't know how familiar you are with ADG as this has been going on since 2009, when they first formed the corporation. The principles of the corporation began in 2010 to start transferring stock to each other as well as to outsiders. At that time, the City was under a moratorium so we were not involved with this. It was led by the State. At some point in 2010, one of the principles filed suit against the others including Mr. Murphy who is now the alleged owner. An agreement and settlement was approved by the District Court in 2011. The parties agreed that Mr. Murphy would take over all of the stock but...Mr. Murphy's attorney, Mr. Foster, indicates that Mr. Murphy is now 100% owner of all of the stock and is the owner of ADG Herbal Medicine. He provided Kerry with some documents, which I have reviewed, that showed the assignments, transfers, settlements and then he sent us a piece of paper which was allegedly filed with the State of Colorado, Medical Marijuana Licensing Authority, which shows he is the 100% owner of this medical marijuana facility. The problem that we are having is that neither Mr. Murphy nor his attorney have filed any Transfer or Change of Ownership documents with the City and no fees have been paid to the City or to the State for this action. This is the reason that I sent the letter. With this letter I included a copy of the filing procedures, although I understand that numerous copies have been received by this establishment's personnel already. As of this date, I have not had a response from Mr. Foster. Mr. Foster and his client(s) are on notice, by this letter, that if they don't have the fees paid and the documents completed in full and filed to this Authority by our next meeting on November 7, 2012, the Authority has three options to take at that meeting.

Deputy Clerk Bush informed that Authority that we have actually met with a representative, Christopher Lynch, from ADG but what he was trying to do was file a Change of Ownership transferring ADG from Mr. Murphy to Mr. Murphy, which makes no sense. So we have put everything back in their hands, informing them that they can't transfer it from themselves to themselves, and they are to file completed documents and to get us copies of everything they have filed with the State.

Chairperson VanDerLeest asked so in his mind, how long has he been the 100% owner. Assistant City Attorney Comer replied that's the problem. We have a settlement of June 29 from the District Court but it is not a signed settlement. We have not received any documentation from Mr. Foster, the attorney, showing the assignments of the stocks from the other owners to Mr. Murphy. Those are the things that we want to see. The documents need to be filed after being completed correctly and then there are fees that need to be paid.

Member Buchanan asked when did the City's Municipal Code regarding Medical Marijuana go into effect. Assistance City Attorney Comer replied the moratorium ended July 1, 2012. Member Buchanan asked prior to that, did we have any responsibility or did they have any responsibility in notifying us of all of this. Assistance City Attorney Comer answered not really. They would have been dealing with the State. Deputy Clerk Bush responded that if it was a transfer or change, they should have come to us first. All new applications would have gone to the State as we were under the moratorium for those.

Member Ostmeyer asked when did we begin to request the correct documentation. This is all so confusing. Deputy Clerk Bush replied in February 2011, when we first received a letter from Mr. Foster.

Chairperson VanDerLeest asked if Mr. Murphy was on any of the original paperwork. Both Assistance City Attorney Comer and Deputy Clerk Bush replied no. Assistance City Attorney Comer said he was a transferee. Member Ward restated so he was not one of the original owners? Is that the crux of the problem? Assistance City Attorney Comer said no, he was not one of the original owners and the crux of the problem is that Mr. Murphy and his attorney both say he is now the sole owner but do so without providing us the documentation showing that the stock from the three original owners have fully been transferred to Mr. Murphy. Nor have they filed any of the proper completed paperwork for a Transfer or Change of Ownership. We have two different things going on here. You have a corporation with three principles, who founded this corporation. They then started transferring back and forth, with people other than Mr. Murphy that muddied the waters. Someone got upset and a lawsuit was filed. The company went into a receivership, ordered by a District Court Judge. So a receiver was appointed and he held onto everything...all of the assets...Once that was settled, it went back to the original three owners and they are supposed to give it to Mr. Murphy. They were to assign everything in settlement. Mr. Murphy, at the point when he was to become the sole corporate owner of ADG, needed to start the Transfer of Ownership process. This has not happened.

Chairperson VanDerLeest said so, at minimum, this should have started in June of this year when the settlement was made and it was to go to him. Assistance City Attorney Comer corrected her saying June of last year. June of 2011. Member Buchanan said so that would have been with the State. Assistance City Attorney Comer agreed. Chairperson VanDerLeest said which he did not file.

Deputy Clerk Bush said there was a Transfer/Change of Ownership filed with the State but none of these current people were involved with that transfer application. Also, a Change of Ownership should have been filed with us because the moratorium only affected new applications, not those who had met the State's timeline deadline requirements.

Assistance City Attorney Comer said this is a mess and we want answers. It could be quite simple. The letter explains everything that needs to be done. Mr. Murphy has been given the procedures and/or personnel from ADG have received several copies. I have also provided another set with this letter.

Chairperson VanDerLeest said the paperwork...so say these three people have signed off and transferred the business to me and here is my check to you. That's what we are asking. Assistance City Attorney Comer clarified that we need to see the assignments or transfers of the three to Mr. Murphy. Deputy Clerk Bush said we also need the applications, fees, background checks must be completed, they must be fingerprinted, and the location inspected. She also said we are guessing that it is a transfer; it could be a new application. We don't know. They tried to do a transfer from them to them and that can't be done. In liquor, we do not accept anything that has not been completed in full and nothing incomplete is brought before the Authority. We should not do it for marijuana as well. We have set precedent and we need to maintain consistency from my office.

Member Buchanan said that's my question. The timeframe. If all of this happened before we had jurisdiction, then the State should have handled it. Assistance City Attorney Comer said we have jurisdiction over it now. Member Buchanan said but if all of this took place before July 1, 2012, assuming they complied with everything the State needed, the State should have issued them a license. He could be the sole owner. Deputy Clerk Bush said the State has not done that.

Member Ostmeyer said so at the end of the day, they haven't done anything. They have done nothing. They have not done one thing.

Member Buchanan asked is this was the same entity that we received something for information from the State several months ago. Deputy Clerk Bush replied yes. We sent in a denial for licensing as we don't have the necessary paperwork to approve anything.

Chairperson VanDerLeest asked if the Englewood Police Department had been checking for compliance. Deputy Clerk Bush replied that they have visited several times. Chairperson VanDerLeest asked if there were any other issues there. Deputy Clerk Bush responded I can't answer that.

Member Buchanan said so this letter says show up on November 7, 2012. What happens if they don't show up? Assistance City Attorney Comer said the letter spells out the options. Member Ward asked are these the only options we have as Authority members.

Member Wilmoth asked if they are selling marijuana right now. She was told yes by several people.

Chairperson VanDerLeest said that is my question. If there is no license, how can they operate and why are we waiting until November 7. Assistance City Attorney Comer said we still have to give them notice of a hearing.

Deputy Clerk Bush responded that the original three owners met the filing criteria and deadlines for the State. Until the State finishes up with the original filings, which we understand is to be within the next 90 days, they can operate under the temporary application filed with the State. They do not have a license and we have recommended that it not be issued until we received the documentation we have requested from the owners. Having met with Mr. Lynch and reviewing the paperwork for a transfer from Mr. Murphy to Mr. Murphy made no sense, it was incomplete, and legally did not follow through. Mr. Lynch was informed that if they were to file what he brought us, we would collect the money but that it would not be approved and they would be out the money. As we do with liquor licensing, no incomplete applications are accepted. An exception should not be made for marijuana. Deputy Clerk Bush asked for clarification: on November 7, are we having a public hearing and for what? I need to know how to word the posting that is put on the City's website as well as in the Englewood Herald newspaper. Or are we having just a meeting on this? If we don't know if it is a new application or if it is a transfer application, that will affect how we proceed.

Chairperson VanDerLeest said to me, we treat this as a transfer, which means we don't have to have a public hearing unless we think one is necessary. All of the other Authority members agreed. Member Wilmoth said that sounds fine so we can find out what their thinking is. Assistance City Attorney Comer said we don't know what their thinking is because they haven't provided us with anything. Several members said let's see what happens on the 7th of November.

Member Buchanan asked what is happening with the other licenses. Deputy Clerk Bush answered that Nature's Kiss has received their State license. Mile High has not received their State license yet. Member Buchanan asked if there are any issues with them. Deputy Clerk Bush replied not to my knowledge.

Member Buchanan said so ADG is operating without a license and in the gray area of the law. This is very confusing. I'm glad that they are coming in November 7th. Assistance City Attorney Comer and Chairperson VanDerLeest said they are invited in.

Assistance City Attorney Comer said I don't think this Authority or Kerry or I should send Mr. Foster or Mr. Murphy anything further. They have been given their notice. That's how I view this letter. It is a notice. If they don't show up on November 7th, then...If they have everything done

by then to everyone's satisfaction, fine. If it is a transfer, which we think it is, the Authority still needs to approve that transfer. It still needs to look at all of the documentation, just like we would anyone else applying. If they don't show up, then the Authority could do one of the three options presented in the letter.

Member Ostmeyer asked if they show up but don't provide what we need, can we still suspend them. That's an option, isn't it? Assistance City Attorney Comer said yes, you could suspend them. We could send the Police over and lock the door.

Chairperson VanDerLeest said I feel like we have offered the invitation. We have explained what we are looking for. Kerry is always very accommodating. We'll see if they get the paperwork done. I would like to visit and do an inspection. I need to see it for myself. I would like this to happen before November 7th. They are invited to come and fix the problem. I feel that we have done what we can. We will keep it as a meeting as opposed to a hearing.

11. Clerk's Choice

a) Findings of Fact, Conclusions and Order of Authority for King Liquor. The approved application has been sent to the State.

b) Wine Pros at Kent Place has some issues and their approval has not been sent to the State yet. We are still pending updated and corrected documents from them and both the owner and his attorney have been notified. The State also has some issues and those documents that we are pending should correct their issues as well.

c) Deputy Bush handed out information regarding Amendment 64 on the November 2012 ballot, which involves regulating marijuana and affecting the Colorado Constitution.

12. Adjournment 7:55 p.m.

* * * * *

/s/ Kerry Bush, MMC
Deputy City Clerk



City of Englewood

**ENGLEWOOD LIQUOR AND MEDICAL MARIJUANA LICENSING AUTHORITY
Telephone Poll
September 19, 2012**

1. Consideration of Minutes

- a) Results of the minutes of the regular meeting of September 5, 2012.

Vote results:

Ayes: Members Michael Buchanan, Diane Ostmeier, Robyn VanDerLeest, Steven Ward, Carolyne Wilmoth
Nays: None

The minutes were approved.

2. Renewal

- | | |
|--|--|
| a) Diamond Shamrock
4596 S. Broadway | 3.2% Off Premises
Expires November 8, 2012 |
| b) Shell
2715 S. Santa Fe Dr. | 3.2% Off Premises
Expires September 11, 2012 |
| c) Wal-Mart
601 Englewood Parkway | 3.2% Off Premises
Expires December 2, 2012 |
| d) West Evans Liquor
2020 W. Evans Ave. | Retail Liquor Store
Expires November 12, 2012 |

Vote results:

Ayes: Members Michael Buchanan, Diane Ostmeier, Robyn VanDerLeest, Steven Ward, Carolyne Wilmoth
Nays: None

The renewals were approved.

3. Change of Manager

- a) Qdoba Mexican Grill
800 Englewood Parkway
Changing from Charles Jerger to Shanon Foster

Vote results:

Ayes: Members Michael Buchanan, Diane Ostmeier, Robyn VanDerLeest, Steven Ward, Carolyne Wilmoth
Nays: None

The Change of Manager was approved.

- b) Shell
2715 S. Santa Fe Dr.
Changing from Raj Bhakhri to Varun Ratti

Vote results:

Ayes: Members Michael Buchanan, Diane Ostmeyer, Robyn VanDerLeest, Steven Ward, Carlyne Wilmoth

Nays: None

The Change of Manager was approved.

* * * * *

/s/ Kerry Bush, MMC
Deputy City Clerk